NASDAQ OMX GROUP, INC.

Form 4 March 09, 2011

#### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** 3235-0287 Number: January 31,

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Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Form 4 or

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* **Investor Trading AB** 

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

NASDAQ OMX GROUP, INC.

[NDAQ]

(Check all applicable)

(Last) (First) (Middle) 3. Date of Earliest Transaction

(7:-

(Month/Day/Year) 03/07/2011

Officer (give title below)

Director

\_X\_\_ 10% Owner Other (specify

ARSENALSGATAN 8C, S-103 32 (Street)

(Ctota)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

STOCKHOLM, V7 00000

(C:+-)

(City)	(State)	(Zip) Tab	le I - Non-	Derivative Secu	ırities A	cquir	ed, Disposed of,	or Beneficiall	y Owned
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities A	.cquired	(A)	5. Amount of	6.	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactionr Disposed of (D)				Securities	Ownership	Indirect
(Instr. 3)		any	Code	(Instr. 3, 4 and	5)		Beneficially	Form:	Beneficial
		(Month/Day/Year)	(Instr. 8)				Owned	Direct (D)	Ownership
							Following	or Indirect	(Instr. 4)
					(4)		Reported	(I)	
					(A)		Transaction(s)	(Instr. 4)	
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
C			Code v	Amount	` ′				
Common	03/07/2011		<b>J</b> (1)	18,004,142	1)	\$0	0	$D^{(2)}$	
Stock	03/07/2011		<b>~</b> —	10,00 1,1 12		(1)	Ŭ	<b>–</b>	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: NASDAQ OMX GROUP, INC. - Form 4

1. Titl Deriv Secur (Instr.	ative ity	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	Securities Acquired (A) or	orNumber Expiration Date of (Month/Day/Year Derivative Securities Acquired (A) or Disposed		Amou Under Secur	le and unt of rlying rities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
					Code \	(Instr. 3, 4, and 5)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Investor Trading AB ARSENALSGATAN 8C S-103 32 STOCKHOLM, V7 00000		X				
Duba AB ARSENALSGATAN 8C S-103 32 STOCKHOLM, V7 00000		X				

# **Signatures**

Investor Trading AB By: /s/ Johan Bygge Name: Johan Bygge Title: Managing Director				
**Signature of Reporting Person	Date			
Duba AB By: /s/ Johan Bygge Name: Johan Bygge Title: Chairman	03/09/2011			
**Signature of Reporting Person	Date			

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These securities were transferred for no consideration from Investor Trading AB to Patricia Trading AB. Investor AB is the sole shareholder of Patricia Trading AB.
- (2) These securities were held by Investor Trading AB. Duba AB is the sole shareholder of Investor Trading AB. Investor AB is the sole shareholder of Duba AB.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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