#### IRON MOUNTAIN INC Form 3 November 07, 2013 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB

### INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

#### OMB APPROVAL

OMB Number: 3235-0104 Expires: January 31, 2005 Estimated average burden hours per response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Day Roderick			<ul><li>2. Date of Event Requiring Statement</li><li>(Month/Day/Year)</li></ul>		3. Issuer Name and Ticker or Trading Symbol IRON MOUNTAIN INC [IRM]					
(Last)	(First)	(Middle)	11/01/2013		4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)		
C/O IRON MOUNTAIN INCORPORATED, 745 ATLANTIC AVENUE (Street) BOSTON, MA 02111					(Check all applicable) <u></u> Director 10% Owner <u></u> Officer Other (give title below) (specify below) Chief Financial Officer		Owner • •	<ul> <li>6. Individual or Joint/Group</li> <li>Filing(Check Applicable Line)</li> <li>_X_Form filed by One Reporting</li> <li>Person</li> <li> Form filed by More than One</li> <li>Reporting Person</li> </ul>		
(City)	(State)	(Zip)		Table I - N	lon-Deriva	tive Securiti	es Bei	neficially Owned		
1.Title of Secur (Instr. 4)	ity			2. Amount of Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr.	1		
Common Sto	ock, par val	ue \$.01 per	share	1,480		D	Â			
Reminder: Repo owned directly o	or indirectly. Person inform require	ns who resp ation conta ed to respo	ch class of secu bond to the co ined in this fo nd unless the //B control nu	ollection of orm are not of form displa	- 2	SEC 1473 (7-02	)			
T	able II - Der	ivative Secur	ities Beneficial	ly Owned (e.	g., puts, calls	, warrants, opt	tions, co	onvertible securities)		

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)
			Derivative	Security:	

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Performance Units	(1)	(1)	Common Stock	964	\$ (2)	D	Â
Performance Units	( <u>3)</u>	( <u>3)</u>	Common Stock	1,701	\$ (2)	D	Â
Restricted Stock Units	03/11/2014	03/11/2014	Common Stock	147	\$ <u>(4)</u>	D	Â
Restricted Stock Units	(5)	(5)	Common Stock	1,271	\$ <u>(4)</u>	D	Â
Restricted Stock Units	(6)	(6)	Common Stock	5,593	\$ <u>(4)</u>	D	Â
Restricted Stock Units	(7)	(7)	Common Stock	1,572	\$ <u>(4)</u>	D	Â
Employee Stock Option (Right to Buy)	(8)	09/01/2018	Common Stock	842	\$ 23.8425	D	Â
Employee Stock Option (Right to Buy)	(9)	06/04/2019	Common Stock	6,323	\$ 25.3112	D	Â
Employee Stock Option (Right to Buy)	(10)	12/10/2019	Common Stock	14,256	\$ 21.0436	D	Â
Employee Stock Option (Right to Buy)	(11)	06/03/2020	Common Stock	5,495	\$ 21.8337	D	Â
Employee Stock Option (Right to Buy)	03/11/2014	03/11/2021	Common Stock	1,149	\$ 25.0925	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Day Roderick C/O IRON MOUNTAIN INCORPORATED 745 ATLANTIC AVENUE BOSTON, MA 02111	Â	Â	Chief Financial Officer	Â		
Signatures						

## ''y'

/s/ Sarah Cammarata, under Power of Attorney dated October 11, 2013, from Roderick 11/07/2013 Day

<u>\*\*</u>Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The performance units ("PUs") will fully vest on March 11, 2014, subject to certain exceptions, if the Reporting Person is, as of that date, continuing to perform services for Iron Mountain Incorporated.
- (2) Each PU represents a contingent right to receive one share of Iron Mountain Incorporated common stock ("Common Stock").
- (3) The PUs will fully vest on March 9, 2015, subject to certain exceptions, if the Reporting Person is, as of that date, continuing to perform services for Iron Mountain Incorporated.
- (4) Each restricted stock unit ("RSU") represents a contingent right to receive one share of Common Stock.
- (5) The RSUs vest in two substantially equal installments on March 9, 2014 and March 9, 2015.
- (6) The RSUs vest in two substantially equal installments on June 13, 2014 and June 13, 2015.
- (7) The RSUs were granted to the Reporting Person on March 15, 2013 and vest in three substantially equal annual installments beginning on the first anniversary of the grant date.
- (8) This option is fully vested.
- (9) 3,160 shares of this option have vested. The remaining shares vest on June 4, 2014.
- (10) This option vests in two substantially equal annual installments on December 10, 2013 and December 10, 2014.
- (11)  $\frac{3,294}{2015}$  shares of this option have vested. The remaining shares vest in two substantially equal installments on June 3, 2014 and June 3, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.