

Alliance Holdings GP, L.P.
Form 3
August 01, 2017

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

^ Alliance Holdings GP, L.P.

(Last) (First) (Middle)

1717 SOUTH BOULDER
AVENUE, SUITE 400

(Street)

TULSA, ^ OK ^ 74119

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

07/28/2017

3. Issuer Name **and** Ticker or Trading Symbol

ALLIANCE RESOURCE PARTNERS LP [ARLP]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☒ Director ☒ 10% Owner
☐ Officer ☐ Other
(give title below) (specify below)

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Unit

31,088,338

D

^

Common Unit

56,100,000

I

See footnote ⁽¹⁾

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

4. Conversion
or Exercise
Price of

5. Ownership
Form of
Derivative

6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

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Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)
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Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Alliance Holdings GP, L.P.

1717 SOUTH BOULDER AVENUE, SUITE 400

TULSA, OK 74119

X X X X

Signatures

/s/ Alliance Holdings GP, L.P. by Kenneth Hemm, pursuant to power of attorney dated August 1, 2017

08/01/2017

____ Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) These common units are owned directly by MGP II, LLC ("MGP II"), which is a wholly owned subsidiary of Alliance Holdings GP, L.P. ("AHGP"). AHGP disclaims beneficial ownership of the common units of Alliance Resource Partners, L.P. held by MGP II except to the extent of its pecuniary interest therein.

^

Remarks:

MGP II wholly owns Alliance Resource Management GP, LLC, the general partner of ARLP ("MGP II")

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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