

KLINSKY STEVEN B  
Form 4  
March 12, 2018

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
KLINSKY STEVEN B

2. Issuer Name and Ticker or Trading Symbol  
New Mountain Finance Corp  
[NMFC]

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)

(Last) (First) (Middle)  
C/O NEW MOUNTAIN CAPITAL,  
L.L.C., 787 7TH AVENUE, 49TH  
FLOOR

3. Date of Earliest Transaction  
(Month/Day/Year)  
03/08/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)

(Street)  
  
NEW YORK, NY 10019

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |            |           |   |              |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|------------|-----------|---|--------------|
|                                 |                                      |  |                                | Code  | V   | Amount   | (A) or (D)  | Price      |           |   |              |
| Common Stock                    | 03/08/2018                           |  | P                              |   |   | 105,485  | A   | \$ 13.3181 | 5,545,972 | D |              |
|                                 |                                      |  |                                |   |   |  |   | (1)        |           |   |              |
| Common Stock                    | 03/09/2018                           |  | P                              |   |   | 95,615   | A   | \$ 13.4073 | 5,641,587 | D |              |
|                                 |                                      |  |                                |   |   |  |   | (1)        |           |   |              |
| Common Stock                    |                                      |  |                                |   |   |  |   |            | 922,302   | I | See Note (2) |
| Common                          |                                      |  |                                |   |   |  |   |            | 161,962   | I | See Note     |



## Edgar Filing: KLINSKY STEVEN B - Form 4

The Steven B. Klinsky Trust directly owns these shares. The reporting person disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein.

- (3) The Steven B. Klinsky Non-GST Exempt Trust directly owns these shares. The reporting person disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.