Fox Michael J Form 4 June 08, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

Section 16.

Form 4 or Form 5 obligations may continue. See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

2. Issuer Name and Ticker or Trading

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Resonant Inc [RESN]

(Month/Day/Year)

Filed(Month/Day/Year)

06/06/2018

3. Date of Earliest Transaction

4. If Amendment, Date Original

Symbol

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Fox Michael J

(Last) (First) (Middle)

C/O PARK CITY CAPITAL,

LLC, 200 CRESCENT COURT, **SUITE 1575** 

(Street)

DALLAS, TX 75201

**OMB APPROVAL** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

X\_ Director 10% Owner Officer (give title Other (specify below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of	2. Transaction Date	. Transaction Date 2A. Deemed			ties		5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Year)	Execution Date, if	Transactio	nAcquired	(A) c	r	Securities	Form: Direct	Indirect	
(Instr. 3)		any	Code Disposed of (D)			))	Beneficially	(D) or	Beneficial	
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)			Owned	Indirect (I)	Ownership	
							Following	(Instr. 4)	(Instr. 4)	
				(4)			Reported			
				(A)		Transaction(s)				
			C-J- V	A 4	or (D)	D	(Instr. 3 and 4)			
			Code V	Amount	(D)	Price				
Common	06/06/2018		M	5,814	Α	(1)	46,481	D		
Stock	00/00/2010		141	3,014	<i>1</i> <b>1</b>	3-1	10,101	D		
~										
Common	06/07/2018		M	5,967	Α	(1)	52,448	D		
Stock	00/07/2010		141	3,707	4.1		32,110	D		

Common Stock

1,035,000

I

City Capital Offshore Master,

Ltd.

By Park

#### Edgar Filing: Fox Michael J - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	e 3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deriv Secu (Inst
				Code V	(A) (A	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(1)</u>	06/06/2018		M	5,	,814	(2)	(2)	Common Stock	5,814	\$
Restricted Stock Units	<u>(1)</u>	06/07/2018		M	5,	,967	(3)	(3)	Common Stock	5,967	\$

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Fox Michael J C/O PARK CITY CAPITAL, LLC 200 CRESCENT COURT, SUITE 1575 DALLAS, TX 75201



## **Signatures**

/s/ Jeff Killian, Attorney-In-Fact 06/08/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of Resonant Inc. common stock.

**(2)** 

Reporting Owners 2

### Edgar Filing: Fox Michael J - Form 4

50% of the shares vested on June 6, 2018, and 50% of the shares shall vest on the earlier of (i) the day prior to the second annual meeting of stockholders following the grant and (ii) June 6, 2019.

(3) 50% of the shares vested on June 5, 2017, and 50% of the shares vested on June 7, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.