

RANKIN CLAIBORNE R  
Form 5  
February 13, 2007

**FORM 5**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0362  
Expires: January 31, 2005  
Estimated average burden hours per response... 1.0

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
RANKIN CLAIBORNE R  
  
(Last) (First) (Middle)

2. Issuer Name and Ticker or Trading Symbol  
NACCO INDUSTRIES INC [NC]  
  
3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
12/31/2006

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)  
  
\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below)  Other (specify below)  
NMHG Dir and Group Member

NACCO INDUSTRIES, INC., 5875 LANDERBROOK DRIVE, STE. 300  
  
(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting  
  
(check applicable line)

MAYFIELD HEIGHTS, OH 44124  
  
(City) (State) (Zip)

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	(A) or (D)	Price	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock							2,116	I	By Assoc II/Spouse <sup>(8)</sup>
Class A Common Stock							1,975	I	By RMI (Delaware) <sup>(9)</sup>
Class A Common	03/24/2006	03/24/2006	G	32	A	\$ 0	2,658	I	By RA4 <sup>(1)</sup>

Edgar Filing: RANKIN CLAIBORNE R - Form 5

Stock									
Class A Common Stock	Â	Â	Â	Â	Â	Â	6	I	By GP <sup>(10)</sup>
Class A Common Stock	03/24/2006	03/24/2006	G	360	D	\$ 0	45,637	I	By Assoc II <sup>(3)</sup>
Class A Common Stock	03/24/2006	03/24/2006	G	77	A	\$ 0	6,372	I	By Spouse/Trust <sup>(4)</sup>
Class A Common Stock	03/24/2006	03/24/2006	G	240	A	\$ 0	4,346	I	By Assoc II/Daughter1 <sup>(5)</sup>
Class A Common Stock	03/24/2006	03/24/2006	G	77	A	\$ 0	10,201	I	By Trust (Daughter1) <sup>(6)</sup>
Class A Common Stock	03/24/2006	03/24/2006	G	240	A	\$ 0	7,846	I	By Assoc II/Daughter2 <sup>(5)</sup>
Class A Common Stock	03/24/2006	03/24/2006	G	77	A	\$ 0	4,927	I	By Trust (Daughter2) <sup>(6)</sup>
Class A Common Stock	03/24/2006	03/24/2006	G	240	A	\$ 0	4,846	I	By Assoc II/Son <sup>(5)</sup>
Class A Common Stock	03/24/2006	03/24/2006	G	77	A	\$ 0	7,497	I	By Trust (Son) <sup>(6)</sup>
Class A Common Stock	12/27/2006	12/27/2006	G	8	D	\$ 0	34,078	I	By Trust <sup>(7)</sup>
Class A Common Stock	12/27/2006	12/27/2006	G	11	D	\$ 0	34,067	I	By Trust <sup>(7)</sup>
Class A Common Stock	12/27/2006	12/27/2006	G	144	D	\$ 0	33,923	I	By Trust <sup>(7)</sup>
Class A Common Stock	12/27/2006	12/27/2006	G	18	D	\$ 0	33,905	I	By Trust <sup>(7)</sup>
Class A Common Stock	12/27/2006	12/27/2006	G	18	D	\$ 0	33,887	I	By Trust <sup>(7)</sup>

Edgar Filing: RANKIN CLAIBORNE R - Form 5

Class A Common Stock	12/27/2006	12/27/2006	G	72	D	\$ 0	33,815	I	By Trust <sup>(7)</sup>
Class A Common Stock	12/27/2006	12/27/2006	G	8	D	\$ 0	33,807	I	By Trust <sup>(7)</sup>
Class A Common Stock	12/27/2006	12/27/2006	G	126	D	\$ 0	33,681	I	By Trust <sup>(7)</sup>
Class A Common Stock	01/03/2007	01/03/2007	G	55	D	\$ 0	33,626	I	By Trust <sup>(7)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 2270  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price or Value of Underlying Securities (Instr. 3 and 4)
					(A) (D)	Date Exercisable Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	\$ 0 <sup>(2)</sup>	03/24/2006	03/24/2006	G	88 <sup>À</sup> <sup>À</sup> <sup>(2)</sup>	<sup>À</sup> <sup>(2)</sup>	Class A Common Stock	88 \$

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
RANKIN CLAIBORNE R NACCO INDUSTRIES, INC. 5875 LANDERBROOK DRIVE, STE. 300 MAYFIELD HEIGHTS, OH 44124	<sup>À</sup>	<sup>À</sup>	<sup>À</sup>	NMHG Dir and Group Member

# Signatures

/s/Constantine E. Tsipis, attorney-in-fact for Claiborne R.  
Rankin

02/13/2007

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) RA4. Represents the Reporting Person's proportionate limited partnership interest in shares held by Rankin Associates IV, L.P.
- (2) N/A
- (3) Represents the Reporting Person's proportionate limited partnership interests in shares held by Rankin Associates II, L.P.-----
- (4) Reporting Person's spouse serves as Trustee of a Trust for the benefit of Chloe O. Rankin. Reporting Person disclaims beneficial ownership of all such shares.
- (5) Represents the Reporting Person's child's proportionate limited partnership interest in shares held by Rankin Associates II, L.P., which is held in a trust for the benefit of the child. Reporting Person is the co-trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (6) Held by Trust, Reporting Person is Co-Trustee for the benefit of Reporting Person's child. Reporting Person disclaims beneficial ownership of all such shares.
- (7) Reporting Person serves as Trustee of a Trust for the benefit of Claiborne R. Rankin.
- (8) Represents the Reporting Person's spouse's proportionate limited partnership interest in shares held by Rankin Associates II, L.P. Reporting Person disclaims beneficial ownership of all such shares.
- (9) Represents the Reporting Person's proportionate interest in shares held by Rankin Management, Inc. ("RMI").
- (10) GP. Represents the Reporting Person's proportionate limited partnership interest in shares of Rankin Associates, IV, L.P. held by the Trust for the benefit of Reporting Person, as general partner.

Â

### Remarks:

"Remark on Insider Relationship" - As a member of a "group" deemed to own more than 10% of

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.