Artisan Partners Asset Management Inc.

Form 3

October 29, 2013

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

Person * A Ramirez	•		Statement (Month/Day/Year)		3. Issuer Name and Ticker or Trading Symbol Artisan Partners Asset Management Inc. [APAM]					
(Last)	(First)		10/22/2013		4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Origina		
C/O ARTIS ASSET MA EAST WIS SUITE 800 MILWAUK	ANAGEME CONSIN A (Street)	ENT, 875 AVENUE,		Table I . N	(CheDirect _X_ Offic (give title be Senio	ck all applicab tor 10 er Or low) (specify b or Vice Preside	% Owner ther pelow) ent	Filed(Month/Day/Year)  6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person  eneficially Owned		
1.Title of Secu (Instr. 4)	, ,	(		2. Amount of Beneficially (Instr. 4)	f Securities	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Na Owne (Instr	ture of Indirect Beneficial		
Class A Cos	mmon Stoc	ek, par value	\$0.01 per	6,400		D	Â			
Class B Conshare (1)	mmon Stoc	k, par value S	\$0.01 per	93,957		D	Â			
Reminder: Repowned directly	-	rate line for each	h class of secu	ırities benefici	ally	SEC 1473 (7-	-02)			
	inforr requi	ons who responation contain red to responed to rely valid OM	ned in this f d unless th	form are not e form displa						

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Artisan Partners Asset Management Inc. - Form 3

1. Title of Derivative Security (Instr. 4)		2. Date Exercisable and Expiration Date (Month/Day/Year)  Date Expiration		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
		Exercisable	Date	Title	Amount or Number of Shares	, , , , , , , , , , , , , , , , , , , ,	or Indirect (I) (Instr. 5)		
	Class B Common Units of Artisan Partners Holdings LP	(2)	(2)	Class A Common Stock, par value \$0.01 per share	93,957	\$ <u>(2)</u>	D	Â	

### **Reporting Owners**

Reporting Owner Name / Address			Relationships	
•	Director	10% Owner	Officer	Other
Ramirez Gregory K C/O ARTISAN PARTNERS ASSET MANAGEMENT 875 EAST WISCONSIN AVENUE, SUITE 800 MILWAUKEE Â WIÂ 53202	Â	Â	Senior Vice President	Â

#### **Signatures**

/s/ Lisa A. Moran, attorney-in-fact for Mr. Ramirez

10/29/2013

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On March 12, 2013, each holder of a Class B Common Unit of Artisan Partners Holdings LP received a number of shares of Class B

  (1) Common Stock of Artisan Partners Asset Management Inc. (the "Company") equal to the number of Class B Common Units held by such person. Shares of Class B Common Stock do not have economic rights.
  - Each Class B Common Unit of Artisan Partners Holdings LP ("Holdings") became exchangeable for one share of Class A Common Stock of the Company upon effectiveness of the Fourth Amended and Restated Limited Partnership Agreement of Holdings and an Exchange Agreement among the Company and each holder of limited partnership units of Holdings (the "Exchange Agreement"), each of which
- became effective on the closing date of the Company's IPO. Pursuant to the Exchange Agreement, following March 12, 2014, each holder of Class B Common Units will have the right, pursuant to and subject to the limitations and restrictions set forth in the Exchange Agreement, to exchange his, her or its Class B Common Units for an equal number of shares of Class A Common Stock of the Company. Upon any such exchange for Class A Common Stock, the corresponding shares of Class B Common Stock then owned by such holder will be cancelled. The Class B Common Units have no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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