#### Edgar Filing: PennyMac Mortgage Investment Trust - Form 3/A

PennyMac Mortgage Investment Trust Form 3/A

# March 12, 2014

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** 

**OMB** Number:

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**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement PennyMac Mortgage Investment Trust [PMT] A Perotti Daniel Stanley (Month/Day/Year) 11/13/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O PENNYMAC MORTGAGE 11/21/2013 (Check all applicable) **INVESTMENT TRUST, Â 6101** CONDOR DRIVE 10% Owner Director \_X\_\_ Officer (Street) Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Chief Asset & Liability Mgt Of \_X\_ Form filed by One Reporting Person MOORPARK. CAÂ 93021 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Shares of Beneficial Interest  $20,950^{(1)}$ D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly.

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

2. Date Exercisable and 3. Title and Amount of 6. Nature of Indirect 1. Title of Derivative Security 4. 5. **Expiration Date** Securities Underlying Ownership Beneficial Ownership (Instr. 4) Conversion (Month/Day/Year) Derivative Security or Exercise Form of (Instr. 5) (Instr. 4) Price of Derivative Derivative Security:

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Date Expiration Title Amount or Security Direct (D)

Exercisable Date Number of Shares (I)

(Instr. 5)

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Perotti Daniel Stanley

C/O PENNYMAC MORTGAGE INVESTMENT

TRUST

 Â Chief Asset & Liability Mgt Of

6101 CONDOR DRIVE

MOORPARK, CAÂ 93021

## **Signatures**

/s/ Derek W. Stark, attorney-in-fact for Mr.
Perotti 03/12/2014

### **Explanation of Responses:**

\*\*Signature of Reporting Person

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - This Form 3/A is submitted to correct an error in both Table 1 and in its accompanying footnote of the original Form 3/A filings. The reported amount consists of 12,200 common shares of beneficial interest and 8,750 restricted share units (RSUs). The

Date

(1) Reporting Person was granted 10,000 RSUs on March 8, 2011 and 5,000 RSUs on May 15, 2012. The RSUs, which vest in four equal annual installments beginning on the first anniversary of the respective grant date, are to be settled in an equal number of common shares of beneficial interest upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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