

NACCO INDUSTRIES INC
Form 4
January 04, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
WILLIAMS CLARA R

2. Issuer Name and Ticker or Trading Symbol
NACCO INDUSTRIES INC [NC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
**NACCO INDUSTRIES, INC., 5875
LANDERBROOK DRIVE, STE.
220**

3. Date of Earliest Transaction
(Month/Day/Year)
12/30/2015

____ Director
____ Officer (give title below) Other (specify below)
Member of a group

(Street)
MAYFIELD HEIGHTS, OH 44124

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
				(A) or (D)	Price						
				Code	V	Amount					
Class A Common Stock	12/29/2015		G	V	145	A	<u>1</u>	12,402	I	By Assoc II <u>(2)</u>	
Class A Common Stock	12/30/2015		P		156	A	\$ <u>(3)</u>	43,7879	64,016	I	By Trust <u>(4)</u>
Class A Common Stock	12/31/2015		P		236	A	\$ <u>(3)</u>	43,593	64,252	I	By Trust <u>(4)</u>
Class A Common Stock	01/04/2016		A ⁽⁵⁾		420	A	<u>1</u>		6,938	I	By Spouse <u>(6)</u>

Edgar Filing: NACCO INDUSTRIES INC - Form 4

Common Stock								
Class A Common Stock						11,008	I	by RAIV (A)
Class A Common Stock						6,537	I	By AssocII/Spouse ⁽⁷⁾
Class A Common Stock						9,179	I	By AssocII/Daughter 2 ⁽⁸⁾
Class A Common Stock						2,553	I	By Trust/Child2 ⁽⁹⁾
Class A Common Stock						7,804	I	By Assoc II/Daughter ⁽⁸⁾
Class A Common Stock						3,927	I	Trust/Child1 ⁽⁹⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)
Class B Common Stock	<u>(1)</u>			Code V	(A) (D)	Date Exercisable <u>(1)</u> Expiration Date <u>(1)</u>	Title Class A Common Stock	Amount or Number of Shares 69,458

