STANLEY BLACK & DECKER, INC.

Form 4

December 06, 2016

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

Check this box if no longer subject to

Section 16.

Form 4 or Form 5 obligations

may continue. See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Ramirez Jaime A

2. Issuer Name and Ticker or Trading Symbol

STANLEY BLACK & DECKER,

3. Date of Earliest Transaction

INC. [SWK]

(Month/Day/Year)

(First) (Middle)

1000 STANLEY DRIVE 12/02/2016 (Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Director 10% Owner Other (specify X\_ Officer (give title

below) SVP&Pres. Global Emerg Mkts

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

NEW BRITAIN, CT 06053

| (City)                               | (State)                              | (Zip) Tab   | le I - Non-l                           | Derivative                              | Secur   | ities Acqui  | red, Disposed of,  | or Beneficial  | y Owned   |
|--------------------------------------|--------------------------------------|---|--|---|---------|--------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securit<br>or Dispos<br>(Instr. 3, 4 | ed of ( | ` ′          | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 12/02/2016                           |   | A                                      | 10,000<br>(1)                           | A       | \$0          | 48,532   | D  |   |
| Common<br>Stock                      | 12/02/2016                           |   | A                                      | 3,261<br>(2)                            | A       | \$ 0         | 51,793   | D  |   |
| Common<br>Stock                      | 12/04/2016                           |   | D                                      | 257 <u>(3)</u>                          | D       | \$<br>118.66 | 51,536   | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)              | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                     |
|--|---|---|---|--|--|--|--------------------|---|-------------------------------------|
|  |   |   |   | Code V                                 | (A) (D)  | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Stock<br>Option<br>(Right to<br>Buy)                             | \$ 118.66   | 12/02/2016                              |   | A                                      | 15,000   | <u>(4)</u>   | 12/02/2026         | Common<br>Stock   | 15,000                              |
| Interest In<br>Employer<br>Stock<br>Fund<br>(401(k)<br>Plan) (5) | <u>(6)</u>  |   |   |  |  | <u>(6)</u>   | <u>(6)</u>         | Common<br>Stock   | 5.3237                              |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Ramirez Jaime A 1000 STANLEY DRIVE

NEW BRITAIN, CT 06053

SVP&Pres. Global Emerg Mkts

**Signatures** 

/s/ Bruce H. Beatt, Attorney-in-Fact

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares to be delivered on vesting of restricted stock units that vest 50% on the fourth anniversary of the date of grant and the balance on the 5th anniversary of the date of grant.
- (2) Shares to be delivered on vesting of restricted stock units that vest in four equal annual installments beginning on the first anniversary of the date of grant.
- (3) Shares withheld to cover taxes on vesting of restricted stock units

Reporting Owners 2

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- (4) The option will become exercisable in four equal annual installments beginning on the first anniversary of the date of grant.
- Represents shares held for the reporting person under the Company's 401(k) Savings Plan as of the last day of the calendar month that
- (5) ended at least 10 days prior to the date of this report, including shares acquired or disposed of on various dates since balance was last reported.

#### (6) Exempt

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.