TRAVELERS COMPANIES, INC.

Form 4 July 05, 2017

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

OMB Number:

3235-0287

Expires:

January 31, 2005

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if no longer subject to Section 16. Form 4 or Form 5

obligations

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may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person * BENET JAY S			2. Issuer Name <b>and</b> Ticker or Trading Symbol TRAVELERS COMPANIES, INC. [TRV]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) THE TRAVE INC., 385 WA		, ·	3. Date of Earliest Transaction (Month/Day/Year) 07/03/2017	Director 10% Owner Officer (give title Other (specify below) Vice Chairman and CFO			
ST. PAUL, M	(Street) IN 55102		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			

(City)	(State)	(Zip) Tabl	e I - Non-D	<b>D</b> erivative	Secur	ities Acqu	ired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			d of (D)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Common Stock	07/03/2017		M(1)	5,518	A	\$ 59.74	82,823.85	D	
Common Stock	07/03/2017		S(1)	5,518	D	\$ 126.98	77,305.85	D	
Common Stock							843.153	I	401(k) Plan
Common Stock							7,079	I	In Trusts

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

#### Edgar Filing: TRAVELERS COMPANIES, INC. - Form 4

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number tiom Derivative Securities ) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to Buy)	\$ 59.74	07/03/2017		M <u>(1)</u>		5,518	02/07/2015	02/07/2022	Common Stock	5,518

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BENET JAY S THE TRAVELERS COMPANIES, INC. 385 WASHINGTON STREET ST. PAUL, MN 55102

Vice Chairman and CFO

## **Signatures**

/s/Wendy C. Skjerven, by power of attorney 07/05/2017

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The transactions reported on this Form 4 were made pursuant to a trading plan entered into in accordance with Rule 10b5-1 under the (1) Securities Exchange Act of 1934. In its Form 10-Q filed on April 20, 2017, the Issuer previously disclosed the potential for executive sales of common stock, including through Rule 10b5-1 trading plans.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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