### Edgar Filing: BENET JAY S - Form 4

| BENET JA   | Y S                                    |               |                              |  |                                       |        |   |  |  |   |  |
|--|--|---------------|------------------------------|--|---------------------------------------|--------|---|--|--|---|--|
| Form 4<br>July 18, 201   | 7                                      |               |                              |  |                                       |        |   |  |  |   |  |
| FORM   | ЛЛ                                     | ) STATES      | S SECUI                      | RITIES /                               | AND EX                                | CHA    | ANGE CO   | OMMISSION  | OMB AF   | PROVAL  |  |
|  |  | 011111        |                              | shington                               |                                       |        |   |  | Number:  | 3235-0287   |  |
| Check this box<br>if no longer<br>subject to<br>Section 16.<br>Form 4 or<br>Form 5 Filed pursuant to Section 1 |  |               |                              | SECUI                                  | RITIES                                |        |   |  | Expires:<br>Estimated a<br>burden hour<br>response                         | rs per  |  |
| obligatio<br>may con<br><i>See</i> Instr<br>1(b).  | ons Section 17                         | (a) of the    | Public U                     | tility Ho                              | lding Co                              | mpan   | U   | 1935 or Section  | I  |   |  |
| (Print or Type   | Responses)                             |               |                              |  |                                       |        |   |  |  |   |  |
| BENET JAY S Symbol   |  |               | er Name <b>an</b><br>ELERS C |  |                                       | 1      | 5. Relationship of Reporting Person(s) to<br>Issuer   |  |  |   |  |
| [TRV]  |  |               |                              |  |                                       |        |   | (Check all applicable)   |  |   |  |
|  |  |               | Day/Ical)                    |  |                                       |        | Director 10% Owner<br>X Officer (give title Other (specify<br>below)<br>Vice Chairman and CFO |  |  |   |  |
| (Street) 4. If Ame   |  |               |                              | onth/Day/Year)                         |                                       |        |   | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person<br>Form filed by More than One Reporting<br>Person |  |   |  |
| (City)   | (State)                                | (Zip)         | Tab                          | le I - Non-                            | Derivative                            | Secu   | rities Acqui  | ired, Disposed of,   | or Beneficial  | ly Owned  |  |
| 1.Title of<br>Security<br>(Instr. 3)   | 2. Transaction Dat<br>(Month/Day/Year) | Execution any | n Date, if                   | 3.<br>Transactio<br>Code<br>(Instr. 8) | 4. Securi<br>onor Dispo<br>(Instr. 3, | sed of |   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)   | 6.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common   |  |               |                              |  | Amount                                | (D)    | Price   |  |  |   |  |
| Stock  | 07/17/2017                             |               |                              | M <u>(1)</u>                           | 5,518                                 | А      | \$ 59.74  | 82,823.85  | D  |   |  |
| Common<br>Stock  | 07/17/2017                             |               |                              | S <u>(1)</u>                           | 5,518                                 | D      | \$<br>125.656<br>(2)  | 77,305.85  | D  |   |  |
| Common<br>Stock  |  |               |                              |  |                                       |        |   | 847.825  | Ι  | 401(k)<br>Plan  |  |
| Common<br>Stock  |  |               |                              |  |                                       |        |   | 7,079  | Ι  | In Trusts   |  |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | Transaction Derivative<br>Code Securities |       | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  | 8<br>1<br>2<br>() |
|---|---|---|--|---|-------|--|--------------------|---|--|-------------------|
|   |   |   | Code V                                 | (A)                                       | (D)   | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |                   |
| Stock<br>Options<br>(Right to<br>Buy)               | \$ 59.74  | 07/17/2017                              | M <u>(1)</u>                           |   | 5,518 | 02/07/2015   | 02/07/2022         | Common<br>Stock   | 5,518                                  |                   |

# **Reporting Owners**

| <b>Reporting Owner Name / Address</b>   | Relationships |           |                       |       |  |  |  |
|---|---------------|-----------|-----------------------|-------|--|--|--|
|   | Director      | 10% Owner | Officer               | Other |  |  |  |
| BENET JAY S<br>THE TRAVELERS COMPANIES, INC.<br>385 WASHINGTON STREET<br>ST. PAUL, MN 55102 |               |           | Vice Chairman and CFO |       |  |  |  |
| Signatures  |               |           |                       |       |  |  |  |
| /s/Wendy C. Skjerven, by power of attorney  | 0′            | 7/18/2017 |                       |       |  |  |  |
| <u>**</u> Signature of Reporting Person   |               | Date      |                       |       |  |  |  |

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- The transactions reported on this Form 4 were made pursuant to a trading plan entered into in accordance with Rule 10b5-1 under the
   (1) Securities Exchange Act of 1934. In its Form 10-Q filed on April 20, 2017, the Issuer previously disclosed the potential for executive sales of common stock, including through Rule 10b5-1 trading plans.
- (2) Represents the weighted average sales price for price increments ranging from \$125.49 to \$126.04. The Reporting Person undertakes to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information

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regarding the number of shares sold at each separate price for all transactions reported on this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.