

KEMPER J MARINER  
Form 4  
January 29, 2018

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
KEMPER J MARINER

2. Issuer Name and Ticker or Trading Symbol  
UMB FINANCIAL CORP [UMBF]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
(Month/Day/Year)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

1010 GRAND BLVD.

01/26/2018

Chairman and CEO

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

KANSAS CITY, MO 64106

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership: Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	01/26/2018		S	400	D \$ 76.91	189,276.4193	D
Common Stock	01/26/2018		S	100	D \$ 76.905	189,176.4193	D
Common Stock	01/26/2018		S	400	D \$ 76.9	188,776.4193	D
Common Stock	01/26/2018		S	100	D \$ 76.89	188,676.4193	D
Common Stock	01/26/2018		S	100	D \$ 76.88	188,576.4193	D

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Common Stock	01/26/2018	S	200	D	\$ 76.87	188,376.4193	D	
Common Stock	01/26/2018	S	100	D	\$ 76.855	188,276.4193	D	
Common Stock	01/26/2018	S	200	D	\$ 76.85	188,076.4193	D	
Common Stock	01/26/2018	S	100	D	\$ 76.84	187,976.4193	D	
Common Stock	01/26/2018	S	200	D	\$ 76.83	187,776.4193	D	
Common Stock	01/26/2018	S	100	D	\$ 76.825	187,676.4193	D	
Common Stock	01/26/2018	S	200	D	\$ 76.82	187,476.4193	D	
Common Stock	01/26/2018	S	100	D	\$ 76.815	187,376.4193	D	
Common Stock	01/26/2018	S	200	D	\$ 76.81	187,176.4193	D	
Common Stock	01/26/2018	S	100	D	\$ 76.78	187,076.4193	D	
Common Stock	01/26/2018	S	100	D	\$ 76.77	186,976.4193	D	
Common Stock	01/26/2018	S	200	D	\$ 76.75	186,776.4193	D	
Common Stock	01/26/2018	S	100	D	\$ 76.74	186,676.4193	D	
Common Stock	01/26/2018	S	100	D	\$ 76.73	186,576.4193	D	
Common Stock	01/26/2018	S	100	D	\$ 76.71	186,476.4193	D	
Common Stock	01/26/2018	S	200	D	\$ 76.67	186,276.4193	D	
Common Stock	01/26/2018	S	100	D	\$ 76.655	186,176.4193	D	
Common Stock						1,000	I	By Daughter - Custodial Brokerage Account
Common Stock						1,938.8979	I	By Esop
Common						1,000	I	By Son -

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Stock				Custodial Brokerage Account
Common Stock	0	I		By Trust - RC Kemper For John
Common Stock	60,800	I		By Trust - TUW RC Kemper For John Mariner
Common Stock	290,397	I		Held by Kemper Realty
Common Stock	395,989	I		Held by Pioneer Service Corporation
Common Stock	2,139,786	I		By Trust - RC Kemper Irrevocable Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KEMPER J MARINER 1010 GRAND BLVD. KANSAS CITY, MO 64106	X		Chairman and CEO	

## Signatures

/s/ John C. Pauls, Attorney-in-fact for Mr.  
Kemper

01/29/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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