

RANKIN ELIZABETH B  
Form 4  
April 03, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
RANKIN ELIZABETH B

(Last) (First) (Middle)

NACCO INDUSTRIES, INC., 5875  
LANDERBROOK DRIVE, SUITE  
220

(Street)

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
NACCO INDUSTRIES INC [NC]

3. Date of Earliest Transaction  
(Month/Day/Year)

03/19/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Member of a group

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				(A) or (D)	Price				
				Code	V	Amount			
Class A Common Stock	03/19/2018		J <sup>(1)</sup>	V	1,365	A	<u>(2)</u> 10,409	I	By Trust/Spouse <sup>(3)</sup>
Class A Common Stock	03/19/2018		J <sup>(1)</sup>	V	200	A	<u>(2)</u> 10,609	I	By Trust/Spouse <sup>(3)</sup>
Class A Common Stock	03/19/2018		J <sup>(1)</sup>	V	945	A	<u>(2)</u> 11,554	I	By Trust/Spouse <sup>(3)</sup>
Class A Common Stock	03/19/2018		J <sup>(1)</sup>	V	1	A	<u>(2)</u> 11,555	I	By Trust/Spouse <sup>(3)</sup>

Edgar Filing: RANKIN ELIZABETH B - Form 4

Common Stock								
Class A Common Stock	03/19/2018	J <sup>(1)</sup>	V 50	A	②	11,605	I	By Trust/Spouse <sup>(3)</sup>
Class A Common Stock	03/19/2018	J <sup>(1)</sup>	V 100	A	②	11,705	I	By Trust/Spouse <sup>(3)</sup>
Class A Common Stock	04/02/2018	A <sup>(4)</sup>	565	A	②	12,270	I	By Trust/Spouse <sup>(3)</sup>
Class A Common Stock						722	D	
Class A Common Stock						2,058	I	By RAI <sup>(5)</sup>
Class A Common Stock						1,553	I	By Spouse/Co-Trustee for Child1/RAI <sup>(6)</sup>
Class A Common Stock						645	I	By Spouse/Co-Trustee for Child1/Trust <sup>(7)</sup>
Class A Common Stock						500	I	By Spouse <sup>(8)</sup>
Class A Common Stock						9,103	I	By RAI/Spouse <sup>(9)</sup>
Class A Common Stock						1,405	I	By Spouse/Co-Trustee for Child2/RAI <sup>(6)</sup>
Class A Common Stock						563	I	By Spouse/Co-Trustee for Child2/Trust <sup>(7)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: RANKIN ELIZABETH B - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Following Reporting Transaction (Instr. 6)
--	--	--------------------------------------	--	--------------------------------	---	--	---	--	---

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
RANKIN ELIZABETH B NACCO INDUSTRIES, INC. 5875 LANDERBROOK DRIVE, SUITE 220 MAYFIELD HEIGHTS, OH 44124				Member of a group

## Signatures

/s/ Jesse L. Adkins,  
attorney-in-fact

04/03/2018

\*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares of Class A Common Stock transferred from the AM Rankin GST Trust fbo Matthew Rankin per the terms of the trust agreement. Reporting Person disclaims beneficial ownership of all such shares.
- (2) N/A
- (3) Held in Trust for benefit of Reporting Person's spouse. Reporting Person disclaims beneficial ownership of all such shares.
- (4) Spouse's shares of Class A Common Stock awarded to the Reporting Person's spouse as "Required Shares" under the company's Non-Employee Directors' Equity Compensation Plan
- (5) Represents the Reporting Person's proportionate limited partnership interests in shares held by Rankin Associates II, L.P .  
Held by Trust for the benefit of Reporting Person's minor child. Spouse serves as co-trustee with PNC bank. Shares represent the minor
- (6) child's proportionate limited interests in shares held by Rankin Associates II, L.P. Reporting Person disclaims beneficial ownership of all such shares.
- (7) Held by a trust for Reporting Person's minor child. Reporting Person's spouse and Reporting Person's spouse's brother, James T. Rankin, serve as co-trustees of the trust. Reporting Person disclaims beneficial ownership of all such shares.
- (8) By Spouse. Reporting Person disclaims beneficial ownership of all such shares.
- (9) Represents the Reporting Person's spouse's proportionate limited partnership interests in shares held by Rankin Associates II, L. P. Reporting Person disclaims beneficial ownership of all such shares.

## Edgar Filing: RANKIN ELIZABETH B - Form 4

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.