

Anderson Catherine C.  
Form 3  
September 28, 2018

**FORM 3**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

Â Anderson Catherine C.  
(Last) (First) (Middle)

500 W. MONROE ST, 28TH  
FLOOR

(Street)

CHICAGO,Â ILÂ 60661

(City) (State) (Zip)

2. Date of Event Requiring  
Statement

(Month/Day/Year)  
09/19/2018

3. Issuer Name **and** Ticker or Trading Symbol  
Adtalem Global Education Inc. [ATGE]

4. Relationship of Reporting  
Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_X\_\_ Officer \_\_\_\_ Other  
(give title below) (specify below)  
SVP, Strategy & Innovation

5. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group  
Filing(Check Applicable Line)  
\_\_X\_\_ Form filed by One Reporting  
Person  
\_\_\_\_ Form filed by More than One  
Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security  
(Instr. 4)

2. Amount of Securities  
Beneficially Owned  
(Instr. 4)

3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)

4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Common Stock <sup>(1)</sup>

690

D

Â

Common Stock <sup>(1)</sup>

1,330

D

Â

Common Stock <sup>(1)</sup>

1,890

D

Â

Common Stock <sup>(1)</sup>

1,180

D

Â

Common Stock <sup>(1)</sup>

1,350

D

Â

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

## Edgar Filing: Anderson Catherine C. - Form 3

| 1. Title of Derivative Security<br>(Instr. 4)           | 2. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 3. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 4) | 4. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 5. Ownership<br>Form of<br>Derivative<br>Security:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) | 6. Nature of Indirect<br>Beneficial<br>Ownership<br>(Instr. 5) |
|---------------------------------------------------------|----------------------------------------------------------------|--------------------------------------------------------------------------------------|--------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|
|                                                         | Date<br>Exercisable                                            | Expiration<br>Date                                                                   | Title                                                              | Amount or<br>Number of<br>Shares                                                                     |                                                                |
| Incentive Stock Option<br>(Right to Buy) <sup>(2)</sup> | 08/22/2019                                                     | 08/22/2028                                                                           | Common<br>Stock                                                    | 4,550                                                                                                | \$ 49.05                                                       |
|                                                         |                                                                |                                                                                      |                                                                    |                                                                                                      | D                                                              |
|                                                         |                                                                |                                                                                      |                                                                    |                                                                                                      | Â                                                              |

## Reporting Owners

| Reporting Owner Name / Address                                             | Relationships |           |                              |       |
|----------------------------------------------------------------------------|---------------|-----------|------------------------------|-------|
|                                                                            | Director      | 10% Owner | Officer                      | Other |
| Anderson Catherine C.<br>500 W. MONROE ST, 28TH FLOOR<br>CHICAGO, IL 60661 | Â             | Â         | Â SVP, Strategy & Innovation | Â     |

## Signatures

Erin McGuire for Catherine C.  
Anderson

09/28/2018

                    Signature of Reporting Person

                    Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes restricted stock units which represent a right to receive one share of common stock for each restricted stock unit. Each restricted stock unit vests 25% on the anniversary of the original grant date and is fully-vested on the four year anniversary of the grant date.

(2) This option vests at 25% per year and will be fully vested at the end of the 4th year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.