

Naman Ananth
Form 4
December 13, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Naman Ananth

2. Issuer Name **and** Ticker or Trading
Symbol
CABOT MICROELECTRONICS
CORP [CCMP]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
C/O CABOT
MICROELECTRONICS
CORPORATION, 870 COMMONS
DRIVE

3. Date of Earliest Transaction
(Month/Day/Year)
12/10/2018

____ Director _____ 10% Owner
X Officer (give title _____ Other (specify
below) below)
VP & Chief Technology Officer

(Street)
AURORA, IL 60504

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	12/10/2018		S		792.2937	D	\$ 97	12,438.0871	D	
Common Stock	12/11/2018		S		400	D	\$ 97.1	12,038.0871	D	
Common Stock	12/11/2018		M		400	A	\$ 46.45	12,438.0871	D	
Common Stock	12/11/2018		S ⁽¹⁾		400	D	\$ 97.1025	12,038.0871	D	

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Common Stock	12/11/2018	S	4,246	D	\$ 95.8443	7,792.0871	D
Common Stock	12/11/2018	M	6,011	A	\$ 46.45	13,803.0871	D
Common Stock	12/11/2018	S ⁽¹⁾	6,011	D	\$ 95.6628	7,792.0871	D
Common Stock	12/11/2018	M	7,850	A	\$ 42.37	15,642.0871	D
Common Stock	12/11/2018	S ⁽¹⁾	7,850	D	\$ 95.6628	7,792.0871	D
Common Stock	12/11/2018	M	7,235	A	\$ 95.6628	15,027.0871	D
Common Stock	12/11/2018	S ⁽¹⁾	7,235	D	\$ 95.6628	7,792.0871	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Stock Options (Right to Buy)	\$ 46.45	12/11/2018		M	400	12/03/2015 ⁽²⁾ 12/03/2024	Common Stock 400
Stock Options (Right to Buy)	\$ 46.45	12/11/2018		M	6,011	12/03/2015 ⁽²⁾ 12/03/2024	Common Stock 6,011
Stock Options	\$ 42.37	12/11/2018		M	7,850	12/03/2016 ⁽³⁾ 12/03/2025	Common Stock 7,850

(Right to
Buy)

Stock

Options
(Right to
Buy)

\$ 60.27

12/11/2018

M

7,235

12/05/2017⁽⁴⁾

12/05/2026

Common
Stock

7,235

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Naman Ananth C/O CABOT MICROELECTRONICS CORPORATION 870 COMMONS DRIVE AURORA, IL 60504			VP & Chief Technology Officer	

Signatures

/s/ H. Carol Bernstein (Power of
Attorney)

12/12/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Sale to cover exercise price of, and taxes related to, cashless exercise of stock options.

(2) 2014 (FY15) Non-Qualified Stock Option Grant Vesting Schedule: 25% 12/03/2015, 25% 12/03/2016, 25% 12/03/2017, 25% 12/03/2018.

(3) 2015 (FY16) Non-Qualified Stock Option Grant Vesting Schedule: 25% 12/03/2016, 25% 12/03/2017, 25% 12/03/2018, 25% 12/03/2019.

(4) 2016 (FY17) Non-Qualified Stock Option Grant Vesting Schedule: 25% 12/05/2017, 25% 12/05/2018, 25% 12/05/2019, 25% 12/05/2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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