RANKIN MATTHEW M
Form 4
January 02, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB Number:	3235-0287
Expires:	January 31, 2005
Estimated average burden hours per response...	0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *
RANKIN MATTHEW M

(Last) (First) (Middle)

5875 LANDERBROOK DRIVE

(Street)

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading Symbol
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

3. Date of Earliest Transaction (Month/Day/Year)
12/28/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

_____ Director _____ 10% Owner
_____ Officer (give title below) __X__ Other (specify below)
Member of a Group

6. Individual or Joint/Group Filing(Check Applicable Line)
_X_ Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Class A Common Stock	12/28/2018		P		1 (1)	A	$ 61.09 (2)	91	I	proportionate interest in shares held by Rankin Associates V
Class A Common Stock	12/28/2018		P		2 (1)	A	$ 61.09 (2)	447	I	Reporting person's proportionate interest in shares held by Rankin Associates VI

Class A Common Stock	12/28/2018	P	3 [(1)]	A	$ 61.09 [(2)]	532	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/28/2018	P	3 [(1)]	A	$ 61.09 [(2)]	531	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/28/2018	P	3 [(1)]	A	$ 61.09 [(2)]	531	I	Child's proportionate interest in shares held by Rankin Associate VI
Class A Common Stock	12/28/2018	P	3 [(1)]	A	$ 61.63 [(3)]	450	I	Reporting person's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/28/2018	P	3 [(1)]	A	$ 61.63 [(3)]	535	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/28/2018	P	2 [(1)]	A	$ 61.63 [(3)]	533	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/28/2018	P	2 [(1)]	A	$ 61.63 [(3)]	533	I	Child's proportionate interest in shares held by Rankin Associate VI
Class A Common Stock	12/31/2018	P	1 [(1)]	A	$ 62.35 [(4)]	92	I	proportionate interest in shares held by Rankin

								Associates V
Class A Common Stock	12/31/2018	P	4 (1)	A	$ 61.81 (5)	454	I	Reporting person's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/31/2018	P	4 (1)	A	$ 61.81 (5)	539	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/31/2018	P	4 (1)	A	$ 61.81 (5)	537	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/31/2018	P	4 (1)	A	$ 61.81 (5)	537	I	Child's proportionate interest in shares held by Rankin Associate VI
Class A Common Stock	12/31/2018	P	1 (1)	A	$ 62.35 (4)	455	I	Reporting person's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/31/2018	P	1 (1)	A	$ 62.35 (4)	540	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/31/2018	P	2 (1)	A	$ 62.35 (4)	539	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common	12/31/2018	P	2 (1)	A	$ 62.35	539	I	Child's proportionate

Stock	(4)			interest in shares held by Rankin Associate VI
Class A Common Stock		722	I	Held by Reporting Person's spouse. (6)
Class A Common Stock		2,058	I	Spouse's proportionate interests in shares held by Rankin Associates II. (6)
Class A Common Stock		1,860	I	Minor child's trust?s proportionate interests in shares held by Rankin Associates II. (6)
Class A Common Stock		645	I	Reporting Person is co-trustee of a Trust fbo minor child. (6)
Class A Common Stock		500	D	
Class A Common Stock		9,000	I	Reporting Person?s proportionate interests in shares held by Rankin Associates II.
Class A Common Stock		10,838	I	Held through a trust of which the Reporting Person is trustee
Class A Common		1,712	I	Minor child's trust?s

Stock					proportionate interests in shares held by Rankin Associates II. (6)
Class A Common Stock			563	I	Reporting Person is co-trustee of a Trust fbo minor child. (6)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(*e.g.*, puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price o Derivativ Security (Instr. 5)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	(7)							(7)	(7)	Class A Common Stock	722	
Class B Common Stock	(7)							(7)	(7)	Class A Common Stock	2,058	
Class B Common	(7)							(7)	(7)	Class A Common	1,860	

Stock					Stock	
Class B Common Stock	(7)		(7)	(7)	Class A Common Stock	645
Class B Common Stock	(7)		(7)	(7)	Class A Common Stock	500
Class B Common Stock	(7)		(7)	(7)	Class A Common Stock	9,000
Class B Common Stock	(7)		(7)	(7)	Class A Common Stock	11,170
Class B Common Stock	(7)		(7)	(7)	Class A Common Stock	1,712
Class B Common Stock	(7)		(7)	(7)	Class A Common Stock	563

Reporting Owners

Reporting Owner Name / Address	Relationships

Director 10% Owner Officer Other

RANKIN MATTHEW M
5875 LANDERBROOK DRIVE
MAYFIELD HEIGHTS, OH 44124

Member of a Group

Signatures

/s/ Suzanne S. Taylor, attorney-in-fact — 01/02/2019

**Signature of Reporting Person — Date

Explanation of Responses:

***** If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

****** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares purchased pursuant to 10b5-1 plan

(2) 2018-Dec-28 -Block 1 Weighted Average- Share Price represents average price between $60.50 and $61.49.

(3) 2018-Dec-28 -Block 2 Weighted Average- Share Price represents average price between $61.50 and $62.09.

(4) 2018-Dec-31 -Block 2 Weighted Average- Share Price represents average price between $62.25 and $62.45.

(5) 2018-Dec-31 -Block 1 Weighted Average- Share Price represents average price between $61.20 and $62.19.

(6) Reporting Person disclaims beneficial ownership of all such shares.

(7) N/A

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