#### NOLAN JOSEPH R JR

Form 4

February 08, 2019

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* NOLAN JOSEPH R JR

2. Issuer Name and Ticker or Trading

Symbol

**EVERSOURCE ENERGY [ES]** 

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

**EVP-Customer & Corp Relations** 

(Last) (First) (Middle)

3. Date of Earliest Transaction

(Month/Day/Year)

02/06/2019

Director 10% Owner Other (specify \_X\_\_ Officer (give title

below)

C/O EVERSOURCE ENERGY, 300 **CADWELL DRIVE** 

> (Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

SPRINGFIELD, MA 01104

(City)	(State) (Z	Zip) Table	I - Non-D	erivative S	Securi	ties Ac	quired, Disposed	of, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	r. 8) (Instr. 3,		0) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Shares, \$5.00 par value	02/06/2019		Code V  A	7,623	(D)	Price	22,901 (2)	D	
Common Shares, \$5.00 par value	02/06/2019		A	4,656 (3)	A	\$ 0	27,557 <u>(2)</u>	D	
Common Shares, \$5.00 par value							18,705 (4)	I	401k Plan

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**SEC 1474** 

(9-02)

8. Price of Derivative Security (Instr. 5)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2.	3. Transaction Date		4. 5. TransactionNumber		6. Date Exercisable and		7. Title and Amount of		_
Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Year)	any (Month/Day/Year)	Code (Instr. 8)	of			Underlying Securities (Instr. 3 and 4)		
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Shares	<u>(5)</u>					<u>(5)</u>	<u>(5)</u>	Common Shares, \$5.00 par value	57,607 (5)	

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

NOLAN JOSEPH R JR C/O EVERSOURCE ENERGY 300 CADWELL DRIVE SPRINGFIELD, MA 01104

**EVP-Customer & Corp Relations** 

### **Signatures**

/s/ Kerry J. Tomasevich, attorney-in-fact for Mr. 02/08/2019

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Grant of restricted share units (RSUs) which vest in three equal installments on February 6, 2020, 2021 and 2022. Restricted share units (1) are distributable in Eversource Energy common shares on a one-for-one basis. RSU holders are entitled to receive dividend equivalents,
- (1) are distributable in Eversource Energy common shares on a one-for-one basis. RSU holders are entitled to receive dividend equivalents, exempt from line item reporting under SEC Rule 16a-11, to the same extent dividends are paid on common shares.

(2) Includes restricted share units and dividend equivalents thereon.

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- (3) Performance shares and dividend equivalent shares for the 2016-2018 Long-Term Incentive Program as determined on February 6, 2019.
- (4) Shares held in trust under the Eversource 401k Plan, a qualified plan, according to information supplied by the Plan's record keeper.
  - Reporting Person's deferred compensation under the Eversource Deferred Compensation Plan, a non-qualified deferred compensation plan, that is nominally invested as common shares. Each phantom share represents the right to receive one Eversource Energy common
- share upon a distribution event, following vesting. Additional phantom shares are issued upon the automatic reinvestment of dividend-equivalents exempt from line item reporting under SEC Rule 16a-11.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.