

TAPLIN DAVID F  
Form 5  
February 13, 2019

# FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
TAPLIN DAVID F

2. Issuer Name and Ticker or Trading Symbol  
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
12/31/2018

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below)  Other (specify below)  
Member of a Group

5875 LANDERBROOK DRIVE

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

MAYFIELD HEIGHTS, OH 44124

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--|--|---|
|                                 |                                      |  |                                | (A) or (D) Price  |  |  |   |
| Class A Common Stock            | 11/02/2018                           | Â  | G                              | 60 D \$ 0   | 30,650   | D  | Â   |
| Class A Common Stock            | 05/06/2015                           | Â  | G                              | 4,000 A \$ 0  | 30,650   | D  | Â   |
| Class A Common Stock            | 05/06/2015                           | Â  | G                              | 4,000 D \$ 0  | 0  | I  | Co-Trustee of Revocable Trust held                    |

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|                            |            |   |   |        |   |      |        |   |   | for<br>step-mother<br><u>(1)</u>   |
|----------------------------|------------|---|---|--------|---|------|--------|---|---|--|
| Class A<br>Common<br>Stock | 10/10/2018 | Â | G | 81     | D | \$ 0 | 30,650 | D | Â |  |
| Class A<br>Common<br>Stock | 03/02/2018 | Â | G | 318    | D | \$ 0 | 30,650 | D | Â |  |
| Class A<br>Common<br>Stock | 05/06/2015 | Â | G | 20,000 | D | \$ 0 | 0      | I |   | Co-Trustee<br>of Revocable<br>Trust held<br>for<br>step-mother<br><u>(1)</u> |
| Class A<br>Common<br>Stock | Â          | Â | Â | Â      | Â | Â    | 100    | I |   | Trust U/A<br>Dtd<br>08/26/1998<br>FBO David<br>Taplin                        |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5.<br>Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) | 8. Pri<br>Deriv<br>Secur<br>(Instr. |        |   |
|---|---|---|---|---|---|--|--------------------|---|-------------------------------------|--------|---|
|   |   |   |   |   |   | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |        |   |
| Class B<br>Common<br>Stock                          | Â   | Â                                       | Â   | Â                                       | Â   | Â  | Â (2)              | Â (2)   | Class A<br>Common<br>Stock          | 29,381 | Â |
| Class B<br>Common<br>Stock                          | Â   | Â                                       | Â   | Â                                       | Â   | Â  | Â (2)              | Â (2)   | Class A<br>Common<br>Stock          | 100    | Â |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |                   |
|--|---------------|-----------|---------|-------------------|
|  | Director      | 10% Owner | Officer | Other             |
| TAPLIN DAVID F<br>5875 LANDERBROOK DRIVE<br>MAYFIELD HEIGHTS, OH 44124 | Ã             | Ã         | Ã       | Member of a Group |

## Signatures

|  |                     |
|--|---------------------|
| /s/ Suzanne S. Taylor,<br>attorney-in-fact     | 02/11/2019          |
| <small>**Signature of Reporting Person</small> | <small>Date</small> |

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting Person disclaims beneficial ownership of all such shares.
  - (2) N/A

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.