

BUTLER HELEN RANKIN

Form 4

March 06, 2019

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
BUTLER HELEN RANKIN

2. Issuer Name **and** Ticker or Trading
Symbol
**HYSTER-YALE MATERIALS
HANDLING, INC. [HY]**

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
**5875 LANDERBROOK DRIVE,
SUITE 300**

3. Date of Earliest Transaction
(Month/Day/Year)
03/06/2019

____ Director ____ 10% Owner
____ Officer (give title ____X____ Other (specify
below) below)
Member of a Group

(Street)
MAYFIELD HEIGHTS, OH 44124

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	V	Amount	(A) or (D)	Price			
Class A Common Stock	03/06/2019		P		192	A	\$ 64.99	101,936	I	held by Trust for the benefit of Reporting Person
Class A Common Stock	03/06/2019		P		2	A	\$ 64.99	471	I	Reporting person's proportionate interest in shares held by Rankin Associates VI

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Class A Common Stock	03/06/2019	P	3	A	\$ 64.99	560	I	Spouse's proportionate interest in Rankin Associates VI
Class A Common Stock	03/06/2019	P	3	A	\$ 64.99	559	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	03/06/2019	P	3	A	\$ 64.99	559	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock						677	I	Child's proportionate partnership interest shares held by AMR Associates LP
Class A Common Stock						8,416	I	child's proportionate limited partnership interests in shares held by Rankin Associates II, L.P ⁽¹⁾
Class A Common Stock						4,513	I	held in Trust for the benefit of Reporting Person's minor child ⁽¹⁾
Class A Common Stock						677	I	Child's proportionate partnership interest shares held by AMR Associates LP
Class A Common Stock						8,572	I	child's proportionate limited partnership

				interests in shares held by Rankin Associates II, L.P. ⁽¹⁾
Class A Common Stock	4,357	I		held in trust for the benefit of Reporting Person's minor child ⁽¹⁾
Class A Common Stock	5,524	I		proportionate partnership interest shares held by AMR Associates LP
Class A Common Stock	49,811	I		proportionate limited partnership interest in shares held by Rankin Associates I, L.P.
Class A Common Stock	11,750	I		proportionate limited partnership interests in shares held by Rankin Associates II, L.P.
Class A Common Stock	32,369	I		proportionate limited partnership interests in shares held by Rankin Associates IV, L.P.
Class A Common Stock	93	I		proportionate limited partnership interests in shares held by Rankin Associates V, L.P.

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Class A Common Stock	2,800	I	Individual Retirement Account for the benefit of the Reporting Person's spouse ⁽¹⁾
Class A Common Stock	7,839	I	spouse's proportionate limited partnership interests in shares held by Rankin Associates II, LP ⁽¹⁾
Class A Common Stock	26,769	I	spouse serves as Trustee of the J.C. Butler, Jr. Revocable Trust. ⁽¹⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	(2)					(2)	(2)	Class A Common Stock	790

Class B Common Stock	<u>(2)</u>	<u>(2)</u>	<u>(2)</u>	Class A Common Stock	8,416
Class B Common Stock	<u>(2)</u>	<u>(2)</u>	<u>(2)</u>	Class A Common Stock	3,683
Class B Common Stock	<u>(2)</u>	<u>(2)</u>	<u>(2)</u>	Class A Common Stock	790
Class B Common Stock	<u>(2)</u>	<u>(2)</u>	<u>(2)</u>	Class A Common Stock	8,572
Class B Common Stock	<u>(2)</u>	<u>(2)</u>	<u>(2)</u>	Class A Common Stock	3,527
Class B Common Stock	<u>(2)</u>	<u>(2)</u>	<u>(2)</u>	Class A Common Stock	6,435
Class B Common Stock	<u>(2)</u>	<u>(2)</u>	<u>(2)</u>	Class A Common Stock	89,105

Class B Common Stock	<u>(2)</u>	<u>(2)</u>	<u>(2)</u>	Class A Common Stock	11,750
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Class B Common Stock	<u>(2)</u>	<u>(2)</u>	<u>(2)</u>	Class A Common Stock	51,283
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Class B Common Stock	<u>(2)</u>	<u>(2)</u>	<u>(2)</u>	Class A Common Stock	58,586
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Class B Common Stock	<u>(2)</u>	<u>(2)</u>	<u>(2)</u>	Class A Common Stock	2,800
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Class B Common Stock	<u>(2)</u>	<u>(2)</u>	<u>(2)</u>	Class A Common Stock	7,839
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Class B Common Stock	<u>(2)</u>	<u>(2)</u>	<u>(2)</u>	Class A Common Stock	17,262
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BUTLER HELEN RANKIN 5875 LANDERBROOK DRIVE, SUITE 300 MAYFIELD HEIGHTS, OH 44124				Member of a Group

Signatures

/s/ Suzanne S. Taylor,
attorney-in-fact

03/06/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Reporting Person disclaims beneficial ownership of all such shares.
- (2) N/A

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