#### 21ST CENTURY HOLDING CO

Form 4 May 14, 2008

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* LAWSON EDWARD J

2. Issuer Name and Ticker or Trading

Symbol

(Middle)

21ST CENTURY HOLDING CO

5. Relationship of Reporting Person(s) to Issuer

(Last) (First) [TCHC] 3. Date of Earliest Transaction

(Month/Day/Year)

05/13/2008

\_X\_ Director 10% Owner X\_ Officer (give title

(Check all applicable)

CEO & Chairman

below)

Other (specify

3661 WEST OAKLAND PARK BLVD., SUITE 300

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

#### LAUDERDALE LAKES, FL 33311

(Street)

(City)	(State)	(Zip) Tabl	e I - Non-D	)erivative	Secur	ities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)		5. Amount of 6. Ownership		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	05/13/2008		S	1,100	D	\$ 11.75	477,209	D	
Common Stock	05/13/2008		S	100	D	\$ 11.76	477,109	D	
Common Stock	05/13/2008		S	200	D	\$ 11.77	476,909	D	
Common Stock	05/13/2008		S	100	D	\$ 11.78	476,809	D	
Common Stock							233,465	I	Held by spouse,

			Michele V Lawson
Common Stock	10,000	I	Held by daughter
Deminden Deport on a concrete line for each close of constition benefici	ally around disastly as indisastly		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Options	\$ 15.79					12/05/2006(1)	12/05/2011	Common Stock	100,000	
Options	\$ 27.79					12/15/2007(2)	12/15/2011	Common Stock	25,000	
Options	\$ 13.17					12/06/2008(1)	12/06/2013	Common Stock	500	
Options	\$ 13.56					01/30/2009(3)	01/30/2013	Common Stock	4,500	
Options	\$ 13.17					12/06/2008(1)	12/06/2013	Common Stock	500	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
	X		CEO & Chairman			

Reporting Owners 2

### Edgar Filing: 21ST CENTURY HOLDING CO - Form 4

LAWSON EDWARD J 3661 WEST OAKLAND PARK BLVD., SUITE 300 LAUDERDALE LAKES, FL 33311

## **Signatures**

Edward J. 05/14/2008 Lawson

\*\*Signature of Date

Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options vest 20% per year.
- (2) Options are fully vested.
- (3) Options vest 25% per year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3