

PULSE ELECTRONICS CORP

Form 3

November 06, 2013

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB  
Number: 3235-0104Expires: January 31,  
2005Estimated average  
burden hours per  
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF  
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

Â Bond Michael C.

(Last)

(First)

(Middle)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

11/06/2013

3. Issuer Name **and** Ticker or Trading Symbol  
PULSE ELECTRONICS CORP [PULS]4. Relationship of Reporting  
Person(s) to Issuer5. If Amendment, Date Original  
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other  
(give title below) (specify below)

Chief Financial Officer

6. Individual or Joint/Group  
Filing(Check Applicable Line)  
☒ Form filed by One Reporting  
Person  
☐ Form filed by More than One  
Reporting PersonC/O PULSE ELECTRONICS  
CORPORATION,Â 12220  
WORLD TRADE DRIVE

(Street)

SAN DIEGO,Â CAÂ 92128

(City)

(State)

(Zip)

**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities  
Beneficially Owned  
(Instr. 4)3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)Common Stock, \$0.125 par value per share 16,234 <sup>(1)</sup>

D Â

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security  
(Instr. 4)2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)3. Title and Amount of  
Securities Underlying  
Derivative Security  
(Instr. 4)4. Conversion  
or Exercise  
Price of  
Derivative5. Ownership  
Form of  
Derivative  
Security:6. Nature of Indirect  
Beneficial Ownership  
(Instr. 5)

## Edgar Filing: PULSE ELECTRONICS CORP - Form 3

|   | Date<br>Exercisable | Expiration<br>Date | Title           | Amount or<br>Number of<br>Shares | Security | Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) |   |
|---|---------------------|--------------------|-----------------|----------------------------------|----------|--|---|
| Employee Stock Option<br>(right to buy) | Â (2)               | 10/01/2019         | Common<br>Stock | 4,315                            | \$ 7.3   | D  | Â |
| Employee Stock Option<br>(right to buy) | Â (3)               | 05/17/2020         | Common<br>Stock | 3,897                            | \$ 3.8   | D  | Â |
| Employee Stock Option<br>(right to buy) | Â (4)               | 10/11/2020         | Common<br>Stock | 12,338                           | \$ 4     | D  | Â |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                           |  | Other |
|--|---------------|-----------|---------------------------|--|-------|
|  | Director      | 10% Owner | Officer                   |  |       |
| Bond Michael C.<br>C/O PULSE ELECTRONICS CORPORATION<br>12220 WORLD TRADE DRIVE<br>SAN DIEGO, CA 92128 | Â             | Â         | Â Chief Financial Officer |  | Â     |

## Signatures

/s/ Michael C.  
Bond 11/06/2013

\_\_Signature of Date  
Reporting Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Comprised of 3,896 shares of restricted common stock, granted on May 17, 2013 and 12,338 shares of restricted common stock, granted on October 11, 2013, each vests in four equal annual installments beginning one year after the initial grant date.
- (2) This option vests in four equal annual installments beginning on October 1, 2013.
- (3) This option vests in four equal annual installments beginning on May 17, 2014.
- (4) This option vests in four equal annual installments beginning on October 11, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.  
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.