ASTRO MED INC /NEW/

Form 4 April 01, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

OMB APPROVAL

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average

0.5

burden hours per

response...

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Stock

(Print or Type Responses)

| | 1. Name and A | Address of Reporting EE | Symbo | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
|-------------------------|---------------|----------------------------|--------------------|---------------------------------------|--|--|-----------------|--------------|--|
| | | | ASIR | ASTRO MED INC /NEW/ [ALOT] | | | | | |
| (Last) (First) (Middle) | | | (Middle) 3. Date | of Earliest Transac | (| | | | |
| | | | (Month | /Day/Year) | | Director | 10 | 0% Owner | |
| 72 FOOTE STREET | | | 03/29 | · · · · · · · · · · · · · · · · · · · | | X Officer (give title Other (specify below) | | | |
| | | | | | Vice President | | | | |
| | | (Street) | 4. If A1 | nendment, Date Or | 6. Individual or Joint/Group Filing(Check | | | | |
| | | | Filed(M | (onth/Day/Year) | Applicable Line) _X_ Form filed by One Reporting Person | | | | |
| | BARRING | TON, RI 02806 | | | | Form filed by More than One Reporting Person | | | |
| | (City) | (State) | (Zip) Ta | ble I - Non-Deriva | tive Securities Acq | uired, Disposed o | of, or Benefici | ally Owned | |
| | 1.Title of | 2. Transaction Date | e 2A. Deemed | 3. 4. Se | curities Acquired | 5. Amount of | 6. | 7. Nature of | |
| | Security | (Month/Day/Year) | Execution Date, if | Transaction(A) of | or Disposed of (D) | Securities | Ownership | Indirect | |
| | (Instr. 3) | | any | Code (Insti | :. 3, 4 and 5) | Beneficially | Form: | Beneficial | |
| | | | (Month/Day/Year | (Instr. 8) | | Owned | Direct (D) | Ownership | |
| | | | | | | Following | or Indirect | (Instr. 4) | |
| | | | | | | Reported | (I) | | |

| 1.Title of | 2. Transaction Date | | 3. 4. Securities Acquired | | | 5. Amount of | 6. | 7. Nature of | |
|---------------------|---------------------|---|---------------------------|--------|-----|--------------|---|---|---|
| Security (Instr. 3) | (Month/Day/Year) | Execution Date, if any (Month/Day/Year) | Code (Instr. 8) | | | | Securities Beneficially Owned Following Reported Transaction(s) | Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Indirect Beneficial Ownership (Instr. 4) |
| _ | | | Code V | Amount | (D) | Price | (Instr. 3 and 4) | | |
| Common Stock | 03/29/2014 | | M | 1,738 | A | <u>(1)</u> | 4,644 | D | |
| Common Stock | 03/29/2014 | | F(2) | 672 | D | \$ 11.65 | 3,972 | D | |
| Common Stock | | | | | | | 1,556 | I | Held in Employee Stock Ownership Plan |
| Common | | | | | | | 358 | I | Held in |

Employee

Stock Purchase Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ve Expiration Da (Month/Day/ [*] | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|---|--------|--|--|-----------------|---|--|
| | | | | Code V | (A) (D | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Restricted Stock Units | (1) | 03/29/2014 | | M | 1,73 | 8 03/29/2014 | 03/29/2014 | Common Stock | 1,738 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| Pizzuti Eric E | | | | | | | | |

Pizzuti Eric E

72 FOOTE STREET Vice President

BARRINGTON, RI 02806

Signatures

Margaret D. Farrell (Attorney-in-fact for Eric E. Pizzuti) 04/01/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit represents the equivalent of one share of ALOT common stock.

(2)

Reporting Owners 2

Edgar Filing: ASTRO MED INC /NEW/ - Form 4

The reporting person delivered 672 shares of the issuer's common stock to the issuer to pay taxes on the vesting of the restricted stock units

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.