#### ASTRO MED INC /NEW/

Form 4 April 08, 2014

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

**OMB APPROVAL** 

January 31,

2005

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per 0.5 response...

Expires:

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Addr Pizzuti Eric E	ress of Reporting	ng Person *	2. Issuer Name and Ticker or Trading Symbol ASTRO MED INC /NEW/ [ALOT]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	()			
72 FOOTE ST	REET		(Month/Day/Year) 04/04/2014	Director 10% Owner X Officer (give title Other (specify below) Vice President			
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
BARRINGTO	N, RI 02806		Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			

(City)	(State)	(Zip) Tab	ole I - Non-	Derivativ	e Secu	ırities Acq	uired, Disposed (	of, or Benefici	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired ion(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock	04/04/2014		M	6,875	A	\$ 8.7273	10,847	D	
Common Stock	04/04/2014		S	2,338	D	\$ 12	8,509	D	
Common Stock	04/07/2014		S	2,687	D	\$ 12	5,822	D	
Common Stock							1,556	I	Held in Employee Stock Ownership Plan

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Common Stock

358

Ι

Held in Employee Stock Purchase Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)

Conversion or Exercise Price of Derivative

Security

3. Transaction Date 3A. Deemed

(Month/Day/Year) Execution Date, if (Month/Day/Year)

5. Number Transaction of Derivative Expiration Date Code Securities Acquired (Instr. 8) (A) or Disposed of

6. Date Exercisable and (Month/Day/Year)

7. Title and Amount of **Underlying Securities** (Instr. 3 and 4)

(D) (Instr. 3, 4, and 5)

> Date Exercisable

Expiration Date

Title

Number of Shares

Amount or

Code V (A) (D)

Stock

Option (Right to Purchase)

\$ 8.7273

04/04/2014

M

6,875 04/19/2005 04/19/2014

Common Stock

6,875

**Reporting Owners** 

Reporting Owner Name / Address

Relationships

Director

10% Owner

Officer

Other

Pizzuti Eric E

72 FOOTE STREET BARRINGTON, RI 02806 Vice President

**Signatures** 

Margaret D. Farrell (Attorney-in-fact for Eric E.

Pizzuti)

04/08/2014

\*\*Signature of Reporting Person

Date

Reporting Owners 2

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# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.