Edgar Filing: TORTOISE POWER & ENERGY INFRASTRUCTURE FUND INC - Form 5

TORTOISE POWER & ENERGY INFRASTRUCTURE FUND INC

Form 5

January 07, 2016

FORM 5 UNITED STATES SECURITIES AND EVOLANCE COMMISSION OMB

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Check this box if Washington, D.C. 20549

Number: 3235-0362 Expires: January 31, 2005

no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 1.0

1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported

Form 4

30(h) of the Investment Company Act of 1940

Transactions Reported

1. Name and Ao MALVEY K		-	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
			TORTOISE POWER & ENERGY INFRASTRUCTURE FUND INC	(Check all applicable)			
			[TPZ]	Director 10% Owner			
(Last)	(First)	(Middle)	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 11/30/2015	Officer (give title X Other (specification) Member of Investment Committee			
11550 ASH	STREET,Â	SUITE 300					
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Reporting			
				(check applicable line)			

LEAWOOD, KSÂ 66211

(State)

(Zin)

(City)

X Form Filed by One Reporting Person ___ Form Filed by More than One Reporting Person

(City)	(State)	(Zip) Tab	le I - Non-Der	ivative Se	curiti	es Acquir	ed, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)			4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Shares	09/01/2015	Â	L	Amount 4.864 (1)	or (D)	Price \$ 21.2	4) 765.331	D	Â
Common Shares	09/01/2015	Â	L	0.649 (1)	A	\$ 21.2	102.044	I	By self as custodian of child's account
Common Shares	09/01/2015	Â	L	4.864 (1)	A	\$ 21.2	765.331	I	By spouse

Edgar Filing: TORTOISE POWER & ENERGY INFRASTRUCTURE FUND INC - Form 5

Common Shares	10/01/2015	Â	L	5.474 (1)	A	\$ 18.96	765.331	D	Â
Common Shares	10/01/2015	Â	L	0.73 (1)	A	\$ 18.96	102.044	I	By self as custodian of child's account
Common Shares	10/01/2015	Â	L	5.474 (1)	A	\$ 18.96	765.331	I	By spouse
Common Shares	11/02/2015	Â	L	4.992 (1)	A	\$ 20.94	765.331	D	Â
Common Shares	11/02/2015	Â	L	0.666 (1)	A	\$ 20.94	102.044	I	By self as custodian of child's account
Common Shares	11/02/2015	Â	L	4.992 (1)	A	\$ 20.94	765.331	I	By spouse

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Persons who respond to the collection of information

(A) (D)

contained in this form are not required to respond unless

the form displays a currently valid OMB control number.

SEC 2270

Shares

(9-02)

Of D So B O

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)
						Date Exercisable	Expiration Date	Title	Amount or Number of	

Reporting Owners

Reminder: Report on a separate line for each class of

securities beneficially owned directly or indirectly.

Reporting Owner Name / Address	Relationships						
•	Director	10% Owner	Officer	Other			
MALVEY KENNETH P 11550 ASH STREET SUITE 300 LEAWOOD, KS 66211	Â	Â	Â	Member of Investment Committee			

Reporting Owners 2

Signatures

Kenneth P. 01/07/2016 Malvey

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Automatic reinvestment of distribution by broker not made at the Tortoise Power and Energy Infrastructure Fund, Inc. dividend reinvestment plan price. This transaction is being reported on Form 5 pursuant to Rule 16a-6.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3