

TECOGEN INC.  
Form 3  
October 01, 2013

**FORM 3** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |         |          |                                      |  |  |
|---|---------|----------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * |         |          | 2. Date of Event Requiring Statement | 3. Issuer Name <b>and</b> Ticker or Trading Symbol |  |
| Â HATSOPOULOS GEORGE                      |         |          | (Month/Day/Year)                     | TECOGEN INC. [TGEN]                                |  |
| N   |         |          | 10/01/2013                           |  |  |
| (Last)                                    | (First) | (Middle) |                                      | 4. Relationship of Reporting Person(s) to Issuer   | 5. If Amendment, Date Original Filed(Month/Day/Year)                             |
| 233 TOWER ROAD                            |         |          |                                      | (Check all applicable)                             |  |
| (Street)                                  |         |          |                                      | <input checked="" type="checkbox"/> Director       | <input checked="" type="checkbox"/> 10% Owner                                    |
| LINCOLN,Â MAÂ 01773                       |         |          |                                      | <input type="checkbox"/> Officer                   | <input type="checkbox"/> Other   |
| (City)                                    | (State) | (Zip)    |                                      | (give title below)                                 | (specify below)  |
|   |         |          |                                      |  | 6. Individual or Joint/Group Filing(Check Applicable Line)                       |
|   |         |          |                                      |  | <input type="checkbox"/> Form filed by One Reporting Person                      |
|   |         |          |                                      |  | <input checked="" type="checkbox"/> Form filed by More than One Reporting Person |

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock                    | 1,225,714   | D  | Â   |
| Common Stock                    | 2,250,000   | I  | See footnote <u>(1)</u>                               |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of | 5. Ownership Form of Derivative | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|------------------------------------|---------------------------------|---|
|--|--|---|------------------------------------|---------------------------------|---|

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|                                    | Date Exercisable | Expiration Date | Title        | Amount or Number of Shares | Derivative Security | Security: Direct (D) or Indirect (I) (Instr. 5) |   |
|------------------------------------|------------------|-----------------|--------------|----------------------------|---------------------|---|---|
| 6% Convertible Debentures Due 2013 | 09/24/2001       | 10/31/2013      | Common Stock | 75,806                     | \$ 1.2              | D   | Â |

## Reporting Owners

| Reporting Owner Name / Address                                       | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| HATSOPOULOS GEORGE N<br>233 TOWER ROAD<br>LINCOLN, MA 01773          | Â X           | Â X       | Â       | Â     |
| HATSOPOULOS FAMILY 2012 TRUST<br>233 TOWER ROAD<br>LINCOLN, MA 01773 | Â             | Â X       | Â       | Â     |

## Signatures

/s/ George N. Hatsopoulos 10/01/2013  
\*\*Signature of Reporting Person Date

/s/ L. Joseph Comeau, Trustee, The Hatsopoulos Family 2012 Trust 10/01/2013  
\*\*Signature of Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

By the Hatsopoulos Family 2012 Trust. George N. Hatsopoulos disclaims beneficial ownership of these securities, except to the extent of (1) any pecuniary interest he may be deemed to have in such shares, and the inclusion of these shares in this report shall not be deemed an admission of beneficial ownership of all of the reported shares for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.