

CONSOLIDATED WATER CO LTD

Form 3/A

December 09, 2013

FORM 3UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Pereira Gerard J.

(Last)

(First)

(Middle)

PO BOX 1114

(Street)

GRAND

CAYMAN,Â E9Â KY1-1102

(City)

(State)

(Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

01/01/2013

3. Issuer Name **and** Ticker or Trading Symbol

CONSOLIDATED WATER CO LTD [CWCO]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

VP of Engineering & Technology

5. If Amendment, Date Original
Filed(Month/Day/Year)

01/10/2013

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

9,965

D

Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative
Security
(Instr. 4)2. Date Exercisable and Expiration
Date
(Month/Day/Year)

Date Exercisable Expiration Date

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title

Amount or
Number of4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect6. Nature of
Indirect Beneficial
Ownership
(Instr. 5)

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				Shares		(I) (Instr. 5)	
Option (Right To Buy)	01/01/2011 ⁽¹⁾	01/01/2014 ⁽¹⁾	Common Stock	4,425 ⁽¹⁾	\$ 30.48	D	Â
Option (Right To Buy)	03/19/2010 ⁽²⁾	03/19/2015 ⁽²⁾	Common Stock	11,775 ⁽²⁾	\$ 7.9	D	Â
Option (Right To Buy)	02/22/2012 ⁽³⁾	02/22/2017 ⁽³⁾	Common Stock	14,163 ⁽³⁾	\$ 10.68	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Pereira Gerard J. PO BOX 1114 GRAND CAYMAN,Â E9Â KY1-1102	Â	Â	Â VP of Engineering & Technology	Â

Signatures

/s/ Gerard J. Pereira
12/09/2013

 **Signature of
Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The option vested as to 4,425 shares on January 1, 2011 and expires on January 1, 2014. The exercise price of the option equals the market price of the Company's common stock as of the close of business on the date of grant.

The option vested as to 3,925 shares on each of March 19, 2010, March 19, 2011 and March 19, 2012. The option expires as to 3,925 shares on each of March 19, 2013, March 19, 2014 and March 19, 2015. The exercise price of the option equals the market price of the Company's common stock as of the close of business on the date of grant. The total number of shares underlying the option and the rate at which the option vests were previously overreported due to a scrivener's error. The changes to these numbers are the only changes being made to the original Form 3 by this amendment.

(3) The option vested as to 4,721 shares on February 22, 2012 and vests as to 4,721 shares on each of February 22, 2013 and February 22, 2014. The option expires as to 4,721 shares on each of February 22, 2015, February 22, 2016 and February 22, 2017. The exercise price of the option equals the market price of the Company's common stock as of the close of business on the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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