

Winkler Julie  
 Form 4  
 November 20, 2018

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Winkler Julie

(Last) (First) (Middle)

20 S. WACKER DRIVE

(Street)

CHICAGO, IL 60606

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
 CME GROUP INC. [CME]

3. Date of Earliest Transaction  
 (Month/Day/Year)  
 11/16/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
 Sr MD Chief Commercial Officer

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 \_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock Class A	11/16/2018		M	2,670	A \$ 54.3	24,255	D
Common Stock Class A	11/16/2018		S	2,670	D \$ 195.01 <sup>(1)</sup>	21,585	D
Common Stock Class A	11/16/2018		M	1,310	A \$ 56.87	22,895	D
Common Stock	11/16/2018		S	1,310	D \$ 195.01	21,585	D

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Class A					<u>(1)</u>		
Common					\$		
Stock	11/16/2018		S	1,867	D	195.01	19,718 D
Class A					<u>(1)</u>		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
Non-Qualified Stock Option (right to buy)	\$ 54.3	11/16/2018		M	2,670	09/15/2014 <sup>(2)</sup> 09/15/2020	Common Stock Class A
Non-Qualified Stock Option (right to buy)	\$ 56.87	11/16/2018		M	1,310	09/13/2013 <sup>(3)</sup> 09/15/2019	Common Stock Class A

## Reporting Owners

Reporting Owner Name / Address

Relationships

Winkler Julie  
20 S. WACKER DRIVE  
CHICAGO, IL 60606

Director 10% Owner Officer Other

Sr MD Chief Commercial Officer

## Signatures

By: Margaret Austin Wright For: Julie Marie Winkler

11/20/2018

        \*\*Signature of Reporting Person

        Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On November 16, 2018, Ms. Winkler sold an aggregate of 5,847 shares of CME Group Class A common stock. For reporting purposes,

- (1) the sale prices within a \$1 range have been aggregated and the weighted average has been reported. The price ranges were \$194.95 to \$195.18. The Company maintains a record of the transactions and copies will be provided upon request.
- (2) As of 9/15/2014, this option vested with respect to 100% of the granted number of shares covered by the option.
- (3) As of 9/15/2013, this option vested with respect to 100% of the granted number of shares covered by the option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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