

SPARKS DAVID E
Form 3
August 13, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

SPARKS DAVID E
(Last) (First) (Middle)

349 UNION STREET

(Street)

MILLERSBURG, PA 17061

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)
08/01/2018

3. Issuer Name and Ticker or Trading Symbol
MID PENN BANCORP INC [MPB]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer Other
(give title below) (specify below)
EVP/Chief Strategic Adv to CEO

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Mid Penn Bancorp, Inc. Common Stock ⁽¹⁾	69,442	D	By Spouse
Mid Penn Bancorp, Inc. Common Stock ⁽¹⁾	26,177	I	By Spouse
Mid Penn Bancorp, Inc. Common Stock ⁽¹⁾	4,062	I	By Sparks Family Foundation
Mid Penn Bancorp, Inc. Common Stock ⁽¹⁾	8,572	I	IRA
Mid Penn Bancorp, Inc. Common Stock ⁽¹⁾	304	I	401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: SPARKS DAVID E - Form 3

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SPARKS DAVID E 349 UNION STREET MILLERSBURG, PA 17061	X		EVP/Chief Strategic Adv to CEO	

Signatures

/s/ Cindy L. Wetzel, 08/13/2018
Attorney-in-Fact

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of First Priority Financial Corp. common stock was exchanged in connection with the merger with Mid Penn Bancorp, Inc. ("MPB") for 0.3481 shares of MPB common stock.

^

Remarks:

Exhibit List

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.