NeuroMetrix, Inc. Form 4 November 01, 2005

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**SECURITIES** 

**OMB** 

Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

3235-0287 Number: January 31, Expires: 2005

0.5

**OMB APPROVAL** 

subject to Section 16. Form 4 or Form 5

obligations

Estimated average

burden hours per response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

| 1. Name and Address of Reporting Person * O BRIEN DANIEL J |          |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer                                    |  |  |  |
|--|----------|----------|--|---|--|--|--|
|  |          |          | NeuroMetrix, Inc. [NURO]                           | (Check all applicable)  |  |  |  |
| (Last)   | (First)  | (Middle) | 3. Date of Earliest Transaction                    |   |  |  |  |
|  |          |          | (Month/Day/Year)                                   | DirectorX 10% Owner   |  |  |  |
| 177 BROAD STREET, 15TH FLOOR                               |          |          | 10/31/2005   | Officer (give title Other (specify below)   |  |  |  |
|  | (Street) |          | 4. If Amendment, Date Original                     | 6. Individual or Joint/Group Filing(Check   |  |  |  |
|  |          |          | Filed(Month/Day/Year)                              | Applicable Line)  |  |  |  |
| STAMFORD, CT 06901   |          |          |  | _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |  |
| (City)   | (State)  | (Zin)    |  |   |  |  |  |

| (City)                               | (State)                                 | Tabl   | e I - Non-D  | erivative Se | ecuriti               | es Acq | uired, Disposed o  | f, or Beneficial   | ly Owned  |  |
|--------------------------------------|---|--|--------------|--------------|-----------------------|--------|--|--|---|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2. Transaction Date 2A. Deemed (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) |              |              | es Acquosed of and 5) | of (D) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |
|                                      |   |  | Code V       | Amount       | (A)<br>or<br>(D)      | Price  | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                   |  |   |  |
| Common                               | 10/31/2005                              |  | <u>J(3)</u>  | 834,408      | D                     | \$0    | 764,902  | I (1)  | See footnote 1. $\underline{(1)}$                     |  |
| Common                               | 10/31/2005                              |  | J <u>(4)</u> | 20,106       | D                     | \$0    | 18,431   | I (2)  | See footnote 2.                                       |  |
| Common                               | 10/31/2005                              |  | J <u>(5)</u> | 8,566        | A                     | \$ 0   | 8,566  | D  |   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) Edgar Filing: NeuroMetrix, Inc. - Form 4

required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc | cisable and | 7. Titl | e and        | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------|-------------|---------|--------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactio | onNumber   | Expiration D  | ate         | Amou    | nt of        | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/   | Year)       | Under   | lying        | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e             |             | Securi  | ities        | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |               |             | (Instr. | 3 and 4)     |             | Owne   |
|             | Security    |                     |                    |            | Acquired   |               |             |         |              |             | Follo  |
|             |             |                     |                    |            | (A) or     |               |             |         |              |             | Repo   |
|             |             |                     |                    |            | Disposed   |               |             |         |              |             | Trans  |
|             |             |                     |                    |            | of (D)     |               |             |         |              |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |               |             |         |              |             |        |
|             |             |                     |                    |            | 4, and 5)  |               |             |         |              |             |        |
|             |             |                     |                    |            |            |               |             |         | Amount       |             |        |
|             |             |                     |                    |            |            |               |             |         | Amount       |             |        |
|             |             |                     |                    |            |            | Date          | Expiration  | Title   | or<br>Number |             |        |
|             |             |                     |                    |            |            | Exercisable   | Date        | Title   | of           |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |               |             |         |              |             |        |
|             |             |                     |                    | Coue v     | (A) (D)    |               |             |         | Shares       |             |        |

## **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|
| <b></b>                        | Director      | 10% Owner | Officer | Other |  |  |  |
| O BRIEN DANIEL J               |               |           |         |       |  |  |  |
| 177 BROAD STREET, 15TH FLOOR   |               | X         |         |       |  |  |  |
| STAMFORD, CT 06901             |               |           |         |       |  |  |  |

# **Signatures**

Daniel J.
O'Brien

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares owned by J. H. Whitney III, L.P. The undersigned is a member of J. H. Whitney Equity Partners III, L.L.C., the general partner of J. H. Whitney III, L.P. The undersigned may be deemed to share voting and dispositive power with respect to such shares. The undersigned disclaims beneficial ownership of such shares except to the extent of his proportionate interest.
- Shares owned by Whitney Strategic Partners III, L.P. The undersigned is a member of J. H. Whitney Equity Partners III, L.L.C., the general partner of Whitney Strategic Partners III, L.P. The undersigned may be deemed to share voting and dispositive power with respect to such shares. The undersigned disclaims beneficial ownership of such shares except to the extent of his proportionate interest.
- (3) Securities distributed to partners of J. H. Whitney III, L.P. on a pro-rata basis in accordance with the Partnership Agreement.
- (4) Securities distributed to partners of Whitney Strategic Partners III, L.P. on a pro-rata basis in accordance with the Partnership Agreement.

(5)

Reporting Owners 2

#### Edgar Filing: NeuroMetrix, Inc. - Form 4

The undersigned received 8,552 shares of Common Stock from J. H. Whitney III, L.P. and 14 shares of Common Stock from Whitney Strategic Partners III, L.P.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.