

BALLY TECHNOLOGIES, INC.

Form 4

February 21, 2014

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
DAVID ROBBINS

2. Issuer Name and Ticker or Trading Symbol  
BALLY TECHNOLOGIES, INC.  
[BYI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
02/19/2014

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6601 S. BERMUDA ROAD

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

LAS VEGAS, NV 89119

(City) (State) (Zip)

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(D)	Price
Common Stock, par value \$.10 per share	02/19/2014		M		24,000	A	\$ 17.16
					181,959	D	
Common Stock, par value \$.10 per share	02/19/2014		F		6,080	D	\$ 67.75
					175,879	D	
Common Stock, par value \$.10 per share	02/20/2014		S		6,000	D	\$ 68.1202
						D	<u>(1)</u>

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Common Stock, par value \$.10 per share	02/21/2014	S	5,500	D	\$ 67.8967 <u>(2)</u>	109,379 <sup>(3)</sup>	D	
Common Stock, par value \$.10 per share						25,714	I	Robbins Family 2013 Trust A
Common Stock, par value \$.10 per share						27,771	I	Robbins Family 2013 Trust E
Common Stock, par value \$.10 per share						39,221	I	by GRAT 3
Common Stock, par value \$.10 per share						52,200	I	Robbins Family 2013 Trust F
Common Stock, par value \$.10 per share						55,000 <sup>(4)</sup>	I	by GRAT 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option	\$ 17.16	02/19/2014		M	24,000	06/30/2005	06/30/2014	Common Stock, par value	24,000

(Right to  
Buy)\$.10 per  
share

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
DAVID ROBBINS 6601 S. BERMUDA ROAD LAS VEGAS, NV 89119		X		

## Signatures

Neil Davidson, attorney-in-fact for: David  
Robbins

02/21/2014

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - (1) The transaction was executed in multiple trades at prices from \$67.93 to \$68.39. The price above reflects the weighted average sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
  - (2) The transaction was executed in multiple trades at prices from \$67.51 to \$68.24. The price above reflects the weighted average sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
  - (3) Excludes 55,000 shares previously held by reporting person which were transferred to GRAT 4 on February 21, 2014.
  - (4) Shares transferred by the reporting person to GRAT 4 on February 21, 2014 as discussed in footnote 3.
  - (5) Granted as compensation for services.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.