

GWG Holdings, Inc.
Form 3/A
October 02, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *	2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol
ACHESON WILLIAM	(Month/Day/Year) 09/18/2014	GWG Holdings, Inc. [GWGH]
(Last) (First) (Middle)		
220 SOUTH SIXTH STREET, SUITE 1200		4. Relationship of Reporting Person(s) to Issuer
(Street)		(Check all applicable)
		5. If Amendment, Date Original Filed(Month/Day/Year) 09/18/2014
MINNEAPOLIS, MN 55402		
(City) (State) (Zip)		
		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person ___ Form filed by More than One Reporting Person
	☐ Director ☐ 10% Owner ☒ Officer ☐ Other (give title below) (specify below) Chief Financial Officer	

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock, \$.001 par value	0	D	ACHESON WILLIAM

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) Title	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Stock Options (right to buy)	Â (1)	05/26/2024	Common Stock, \$.001 par value	32,500	\$ 7.46	D	Â
Stock Options (right to buy)	Â (2)	09/01/2024	Common Stock, \$.001 par value	5,000	\$ 7.92	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ACHESON WILLIAM 220 SOUTH SIXTH STREET, SUITE 1200 MINNEAPOLIS, MN 55402	Â	Â	Â Chief Financial Officer	Â

Signatures

/s/ William
Acheson

10/02/2014

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) 2,500 shares are currently exercisable, and the remaining 30,000 shares vest over three years.

(2) 1,667 shares vest on each of 9/2/2015 and 9/2/2016; 1,666 shares vest on 9/2/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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