

COMFORT SYSTEMS USA INC

Form 4

April 05, 2016

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**GEORGE WILLIAM III**

2. Issuer Name and Ticker or Trading Symbol  
**COMFORT SYSTEMS USA INC [FIX]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
**04/04/2016**

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chief Financial Officer

**C/O COMFORT SYSTEMS USA, INC., 675 BERING DRIVE, SUITE 400**

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**HOUSTON, TX 77057**

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	03/30/2016		G <sup>(1)</sup>	V	4,627	D	\$ 0
Common Stock	04/04/2016 <sup>(2)</sup>		F		754 <sup>(3)</sup>	D	\$ 31.88 <sup>(4)</sup>
Common Stock	04/04/2016 <sup>(2)</sup>		F		1,348 <sup>(5)</sup>	D	\$ 31.88 <sup>(4)</sup>
Common Stock	04/04/2016 <sup>(2)</sup>		F		1,526	D	\$ 48,381

Stock	<u>(6)</u>	<u>31.88</u>
		<u>(4)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned (Instr. 5)
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						Code	V (A) (D)		

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
GEORGE WILLIAM III C/O COMFORT SYSTEMS USA, INC. 675 BERING DRIVE, SUITE 400 HOUSTON, TX 77057			Chief Financial Officer	

## Signatures

/s/ Trent T. McKenna, by power of attorney	04/05/2016
**Signature of Reporting Person	Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction represents a charitable donation.
- (2) Forfeited shares are related to restricted stock units that vested on April 1, 2016 and were priced based on the average of the high and low stock price on April 1, 2016. Forfeitures were processed on April 4, 2016.

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- (3) Reflects forfeited shares related to the first vesting of restricted stock units granted on March 25, 2015.
- (4) Price is based on the average of the high and low stock price on April 1, 2016.
- (5) Reflects forfeited shares related to the second vesting of restricted stock units granted on March 19, 2014.
- (6) Reflects forfeited shares related to the third and final vesting of restricted stock units granted on March 20, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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