Edgar Filing: Davis R Eberley - Form 4

Dervie D. Eherle

| Form 4 | | | | | | | | | | | |
|--|--------------------------------------|--------------------------|--|---|---|------------------------------------|---|--|--|--|--|
| February 12, 2018 FORM 4 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Subject to Section 16. Form 4 or Form 5 See Instruction 1(b). See Instruction 1(b). | | | | | | | OMB Number: Expires: Estimated a burden hou response | • | | | |
| (Print or Type R | Responses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Davis R Eberley | | | 2. Issuer Name and Ticker or Trading Symbol ALLIANCE RESOURCE PARTNERS LP [ARLP] | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Last) (First) (Middle) 1717 SOUTH BOULDER, SUITE 400 | | | 3. Date of Earliest Transaction (Month/Day/Year) 02/08/2018 | | | | | Director 10% Owner X Officer (give title Other (specify below) below) below) SVP-Law&Admin,General Counsel | | | |
| | | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | |
| (City) | (State) | (Zip) | Table | e I - Non-D | erivative S | ecuri | ties Aca | uired, Disposed of | . or Beneficial | lv Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Da (Month/Day/Year | nsaction Date 2A. Deemed | | | 4. Securition(A) or Dis (Instr. 3, 4 | ies Ac sposed 4 and 5 (A) | quired of (D) | 5. Amount of Securities Beneficially Owned | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial | |
| Common unit | 02/08/2018 | | | Code V M | Amount 15,719 | or (D) A | Price (2) | (Instr. 3 and 4) 84,558 | D | | |
| Common unit | 02/08/2018 | | | F | 5,151 | D | \$ 20.4 (3) | 79,407 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of 4 Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|-----|--------|--|--------------------|--|-------------------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Restricted unit | <u>(2)</u> | 02/08/2018 | | М | | 15,719 | 02/08/2018 | (1) | Common units | 15,719 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|---|---------------|-----------|-------------------------------|-------|--|--|--|--|
| 1 | Director | 10% Owner | Officer | Other | | | | |
| Davis R Eberley 1717 SOUTH BOULDER SUITE 400 TULSA, OK 74119 | | | SVP-Law&Admin,General Counsel | | | | | |
| Signatures | | | | | | | | |

| /s/ R. Eberley Davis by Kenneth Hemm, pursuant to power of attorney dated April 9, | | | | | | |
|--|------------|--|--|--|--|--|
| 2013 | 02/12/2018 | | | | | |
| **Signature of Reporting Person | Date | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Not applicable

On January 24, 2018, our Compensation Committee determined that the vesting requirements for the 2015 Long-Term Incentive Plan
 (2) grants had been satisfied. As a result restricted units were determined to be vested effective January 1, 2018 and subsequently issued on February 8, 2018 based upon an ARLP unit price of \$20.40.

(3) Upon vesting, restricted units are withheld by the Partnership for the payment of tax liability at the vesting price of \$20.40.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.