### Edgar Filing: DRUTEN ROBERT J - Form 3

#### DRUTEN ROBERT J

Form 3

January 08, 2019

### FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement ALLIANCE RESOURCE PARTNERS LP [ARLP] À DRUTEN ROBERT J (Month/Day/Year) 01/01/2019 (Last) (First) (Middle) 4. Relationship of Reporting Person(s) to Issuer 1717 SOUTH

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

10% Owner \_X\_\_ Director Officer Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

TULSA, OKÂ 74119

**BOULDER, Â SUITE 400** 

(City) (State) (Zip)

(Street)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Form:

Table I - Non-Derivative Securities Beneficially Owned

Ownership Ownership (Instr. 5) Direct (D)

or Indirect (I) (Instr. 5)

Â Common Unit D 37,628

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

Conversion Ownership or Exercise Form of Price of Derivative Derivative Security

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Title

Security: Direct (D)

5.

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Phantom unit	(1)	(2)	Common unit	2,441	\$ <u>(2)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Keiationsnips				
	Director	10% Owner	Officer	Other	
DRUTEN ROBERT J 1717 SOUTH BOULDER SUITE 400 TULSA, OK 74119	ÂX	Â	Â	Â	
TULSA,A OKA /4119					

# **Signatures**

/s/ Robert J. Druten by Kenneth Hemm, pursuant to power of attorney dated December 20, 2018

01/08/2019

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Phantom units are to be settled in ARLP common units pursuant to the reporting person's election or upon their death or termination.
- (2) Not applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2