AUBURN NATIONAL BANCORPORATION INC

Form 5 February 07, 2003

SEC Form 5

FORM 5 [] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). [] Form 3 Holdings Reported		ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP							OMB Numl Expires: Ja Estimated hours per r	OMB APPROVAL OMB Number: 3235-0362 Expires: January 31, 2005 Estimated average burden hours per response 1.0	
[] Form 4 Transactions Reported 1. Name and Address of Reporting Person*			1940 2. Issuer Name 4			4. Statement for 6. Relation			nship of Reporting Person(s) to		
Johnson, William Thomas (Last) (First) (Middle) 2747 Gunston Court			and Ticker or Trading Symbol Auburn National Bancorporation Inc aubn		Month/Year 12/2002		X Officer	(Check all applicable) _ Director _ 10% Owner X Officer (give title below) _ Other (specify below)			
(Street) Auburn, AL 36830 (City) (State) (Zip)			 I.R.S. Identification Number of Reporting Person, if an entity (voluntary) 419-64-6067 		5. If Amendment, Date of Original (Month/Year)		Descripti 7. Indivic Filing	Description 7. Individual or Joint/Group Filing (Check Applicable Line) ≰ Form filed by One Reporting Person			
				Disposed of, or Beneficially Owned			filed by More than One g Person				
1. Title of Security (Instr. 3)	2.Transactio Date (Month/Day	on 2A. D Exect /Year) any	Derivative Solution Date, if th/Day/Year)	3.	4. Se Acqu Dispo (In	Amount / Amount / Price	5. Amour Securi Benefi Owned	nt of ties cially d at end 's Fiscal	ed 6. Owner- ship Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
aubn common stock				R	1	4 / A / \$13.62	2,1	67	D	Annual Drip	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of	2. Conver-	3.	3A.	4.	5. Number	6. Date	7. Title and	8. Price	9. Number of	10.	1
Derivative	sion or	Transaction	Deemed	Transaction	of	Exercisable(DE)	Amount of	of	Derivative	Owner-	
Security	Exercise	Date	Execution	Code	Derivative	and	Underlying	Derivative	Securities	ship	
(Instr. 3)	Price of		Date, if		Securities	Expiration	Securities	Security	Beneficially	Form of	
	Deri-	(Month/	any	(Instr.8)	Acquired	Date(ED)	(Instr. 3	(Instr.5)	Owned	Deriv-	
	vative	Day/			(A)	(Month/Day/Year)	and 4)		at End of Year	ative	
	Security	Year)	(Month/		or				Reported	Securitie	es:

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	Day/ Year)	Disposed Of (D) (Instr. 3, 4 and 5)			Direct (D) or Indirect (I) (Instr.4)
		A or D	DE / ED	Title / Amount or Number of Shares	

Explanation of Responses:

By:

/s/ William Thomas Johnson

** Signature of Reporting Person

02/06/2003

Date:

SEC 2270 (09-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.