AUBURN NATIONAL BANCORPORATION INC

Form 4

March 20, 2003

SEC Form 4

FORM 4

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility

Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

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1. Name and Address of Reporting 2. Issuer Name 4. Statement for 6. Relationship of Reporting Person(s) and Ticker or Trading Symbol Person' (Month/Day/Year to Issuer Hall, Jo Ann (Check all applicable) 01/01/2002 **Auburn National Bancorporation Inc** Director _ 10% Owner (Last) (First) X Officer (give title below) (Middle) aubn _ Other (specify below) 3313 North Gate Drive 5. If Amendment, 3. I.R.S. Identification Date of Original Description **Officer** Number of Reporting (Street) (Month/Day/Year) AuburnBank Subsidiary of the Person, if an entity Opelika, AL 36801 Compnay (Sr VP Operations) (voluntary) 252-84-1410 (City) (State) 7. Individual or Joint/Group (Zip) Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person

| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | |
|--|---|---|------|---|--------|-----|--------|--|--------------------------|--|--|
| 1. Title of Security (Instr. 3) | 2.Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | | | | | Of (D) | 5. Amount of Securities Beneficially Owned Following | es ship Form: Direct (D) | 7. Nature of Indirect Beneficial Ownership | |
| | | | Code | V | Amount | A/D | Price | Reported or Indirect (I) (Instr. 3 and 4) (Instr. 4) | Indirect (I) (Instr. | (Instr. 4) | |
| aubn common stock | | | | | | | | 16,867 | D | | |

| | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | |
|---|--|--------------------------------------|-----------------------------------|---------------------------------------|--------------------------|---|--|---|---|--|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Deri- | 3. Transaction Date (Month/ | 3A. Deemed Execution Date, if any | 4. Transactio Code (Instr.8) | Derivative Securities | 6. Date Exercisable(DE) and Expiration Date(ED) | 7. Title and Amount of Underlying Securities (Instr. 3 and | 8. Price of Derivative Security (Instr.5) | 9. Number of Derivative Securities Beneficially Owned | | | |

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| | vative Security | Day/ Year) | (Month/ Day/ Year) | | | (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | (Month/Day/Year) | | 4) | | | Following Reported Transaction(s) (Instr.4) |
|--|--------------------|---------------|--------------------------|------|----------|---|---|------------------|----------|-------|--|-----------|--|
| | | | | Code | V | Α | D | DE | ED | Title | Amount or Number of Shares | | |
| aubn stock option right to buy | \$11.35 | | | | | | | 01/01/02 | 12/31/05 | aubn | 200 | \$ | 200 |
| | \$ | | | | | | | | | | | \$ | |
| | \$ | | | | | | | | | | | \$ | |

Explanation of Responses:

| By: | Date: |
|-----------------|-------------------|
| /s/ Jo Ann Hall | <u>03/19/2003</u> |

Officer

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.

^{**} Signature of Reporting Person SEC 1474 (9-02)

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

^{**} Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).