

THERMAGE INC

Form 3

November 09, 2006

**FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

Â BYRNES ROBERT F

(Last) (First) (Middle)

6339 E. BLAIRWOOD LANE

(Street)

ORANGE,Â CAÂ 92867

(City) (State) (Zip)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

11/09/2006

3. Issuer Name and Ticker or Trading Symbol  
THERMAGE INC [THRM]4. Relationship of Reporting  
Person(s) to Issuer

(Check all applicable)

☒ Director ☒ 10% Owner  
☐ Officer ☐ Other  
(give title below) (specify below)5. If Amendment, Date Original  
Filed(Month/Day/Year)6. Individual or Joint/Group  
Filing(Check Applicable Line)  
☒ Form filed by One Reporting  
Person  
☐ Form filed by More than One  
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities  
Beneficially Owned  
(Instr. 4)3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Common Stock

697,916

I (1)

By Byrnes Family Trust

Common Stock

216,000

I (2)By Byrnes 1998 Generational  
TrustReminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security  
(Instr. 4)2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)3. Title and Amount of  
Securities Underlying  
Derivative Security  
(Instr. 4)4. Conversion  
or Exercise  
Price of5. Ownership  
Form of  
Derivative6. Nature of Indirect  
Beneficial Ownership  
(Instr. 5)

# Edgar Filing: THERMAGE INC - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Series C Convertible Preferred Stock	Â (3)	Â (4)	Common Stock	44,000	\$ (5)	I (2)	By Byrnes 1998 Generational Trust
Director Stock Option (right to buy)	Â (6)	05/03/2016	Common Stock	10,000	\$ 3	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BYRNES ROBERT F 6339 E. BLAIRWOOD LANE ORANGE, CA 92867	Â X	Â X	Â	Â

## Signatures

/s/ Robert F. Byrnes 11/09/2006

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Mr. Byrnes disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein.  
These securities are held in a trust for the benefit of Mr. Brynes' children of which Mr. Byrnes serves as a trustee. Mr. Byrnes disclaims
- (2) beneficial ownership of these securities, and the filing of this report is not an admission that Mr. Byrnes is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.
- (3) Immediately.
- (4) Not applicable.
- (5) 1-for-1.
- (6) This option vests and the shares become exercisable according to the following schedule: 1/48 on March 31, 2006 and 1/48 on the last day of each full calendar month thereafter.

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### Remarks:

TheÂ DateÂ ofÂ EventÂ isÂ basedÂ uponÂ theÂ dateÂ ofÂ effectivenessÂ ofÂ theÂ Issuer'sÂ RegistrationÂ StatementÂ o

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.