Schneider Kevin Douglas Form 4 August 20, 2009

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Last)

(Instr. 3)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Schneider Kevin Douglas

2. Issuer Name and Ticker or Trading

Symbol

GENWORTH FINANCIAL INC

[GNW]

(First)

3. Date of Earliest Transaction

(Month/Day/Year) 08/18/2009

C/O GENWORTH FINANCIAL. INC., 6620 WEST BROAD STREET

(Street)

(Middle)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

SVP - Genworth

Applicable Line)

Director

X\_ Officer (give title

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

below)

RICHMOND, VA 23230

(City) (State) 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

(Zip)

(Month/Day/Year)

4. Securities 3. TransactionAcquired (A) or Code Disposed of (D) (Instr. 8)

(Instr. 3, 4 and 5)

(A)

or

5. Amount of Securities Beneficially Owned Following

6. Ownership Form: Direct (I) (Instr. 4)

Indirect (D) or Indirect Beneficial Ownership (Instr. 4)

7. Nature of

10% Owner

Other (specify

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

Reported Transaction(s)

(Instr. 3 and 4)

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion

3. Transaction Date 3A. Deemed

5. Number of (Month/Day/Year) Execution Date, if TransactionDerivative Securities

6. Date Exercisable and **Expiration Date** 

7. Title and Amour Underlying Securit

### Edgar Filing: Schneider Kevin Douglas - Form 4

Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		(Month/Day/Year)		(Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo Numl Share
Stock Options (right to buy)	\$ 22.8	08/18/2009		D		25,200	<u>(1)</u>	02/13/2018	Class A Common Stock	25,
Stock Options (right to buy)	\$ 30.52	08/18/2009		D		18,900	(2)	07/31/2017	Class A Common Stock	18,
Stock Options (right to buy)	\$ 34.13	08/18/2009		D		15,300	(3)	08/09/2016	Class A Common Stock	15,
Stock Options (right to buy)	\$ 32.1	08/18/2009		D		13,800	<u>(4)</u>	07/20/2015	Class A Common Stock	13,
Stock Options (right to buy)	\$ 19.5	08/18/2009		D		160,000	<u>(5)</u>	05/25/2014	Class A Common Stock	160
Stock Options (right to buy)	\$ 7.8	08/19/2009		A	8,400		<u>(6)</u>	02/13/2018	Class A Common Stock	8,4
Stock Options (right to buy)	\$ 7.8	08/19/2009		A	6,300		<u>(7)</u>	07/31/2017	Class A Common Stock	6,3
Stock Options (right to buy)	\$ 7.8	08/19/2009		A	5,100		<u>(7)</u>	08/09/2016	Class A Common Stock	5,1
Stock Options (right to buy)	\$ 7.8	08/19/2009		A	4,600		<u>(7)</u>	07/20/2015	Class A Common Stock	4,6
Stock Options (right to buy)	\$ 7.8	08/19/2009		A	53,333		<u>(7)</u>	05/25/2014	Class A Common Stock	53,

#### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Schneider Kevin Douglas C/O GENWORTH FINANCIAL, INC. 6620 WEST BROAD STREET RICHMOND, VA 23230

SVP - Genworth

### **Signatures**

/s/ Richard J. Oelhafen, Jr., by power of attorney

08/20/2009

\*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Stock Options provided for vesting in five equal installments beginning on February 13, 2009.
- (2) The Stock Options provided for vesting in five equal installments beginning on July 31, 2008.
- (3) The Stock Options provided for vesting in five equal installments beginning on August 9, 2007.
- (4) The Stock Options provided for vesting in five equal installments beginning on July 20, 2006.
- (5) The Stock Options provided for vesting in four equal installments beginning on May 25, 2006.
- (6) The Stock Options vest in four equal annual installments beginning on August 19, 2010.
- (7) The Stock Options vest in three equal annual installments beginning on August 19, 2010.
- The disposition of Stock Options reported on this Form 4 was pursuant to the issuer's equity exchange program. For every three Stock
- (8) Options that were cancelled, the reporting person was granted one new Stock Option with a base price equal to the closing price of the issuer's Class A Common Stock on August 19, 2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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