

SERVICESOURCE INTERNATIONAL, INC.

Form 4

August 04, 2011

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Housatonic Micro Fund SBIC, L.P.

2. Issuer Name **and** Ticker or Trading
Symbol

SERVICESOURCE
INTERNATIONAL, INC. [SREV]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction

(Month/Day/Year)

08/03/2011

____ Director

____ Officer (give title
below)

☒ 10% Owner

____ Other (specify
below)

C/O HOUSATONIC
PARTNERS, 44 MONTGOMERY
STREET, SUITE 4010

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)

____ Form filed by One Reporting Person

☒ Form filed by More than One Reporting
Person

SAN FRANCISCO, CA 94104

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	08/03/2011		S	1,095,120	D	\$ 16.8	4,988,880 D <u>(1)</u>
Common Stock	08/03/2011		S	404,789	D	\$ 16.8	1,844,040 D <u>(2)</u>
Common Stock	08/03/2011		S	200,880	D	\$ 16.8	915,120 D <u>(3)</u>
Common Stock	08/03/2011		S	18,660	D	\$ 16.8	85,011 D <u>(4)</u>

Edgar Filing: SERVICESOURCE INTERNATIONAL, INC. - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Housatonic Micro Fund SBIC, L.P. C/O HOUSATONIC PARTNERS 44 MONTGOMERY STREET, SUITE 4010 SAN FRANCISCO, CA 94104		X		
Housatonic Micro Partners SBIC, L.L.C. C/O HOUSATONIC PARTNERS 44 MONTGOMERY STREET, SUITE 4010 SAN FRANCISCO, CA 94104-4704		X		
Housatonic Equity Investors IV LP C/O HOUSATONIC PARTNERS 44 MONTGOMERY STREET, SUITE 4010 SAN FRANCISCO, CA 94104-4704		X		
Housatonic Equity Affiliates IV LP C/O HOUSATONIC PARTNERS 44 MONTGOMERY STREET, SUITE 4010 SAN FRANCISCO, CA 94104-4704		X		
Housatonic Equity Partners IV, L.L.C. C/O HOUSATONIC PARTNERS 44 MONTGOMERY STREET, SUITE 4010		X		

SAN FRANCISCO, CA 94104-4704

Housatonic Equity Investors SBIC, L.P.

C/O HOUSATONIC PARTNERS

44 MONTGOMERY STREET, SUITE 4010

X

SAN FRANCISCO, CA 94104-4704

Housatonic Equity Partners SBIC, L.L.C.

C/O HOUSATONIC PARTNERS

44 MONTGOMERY STREET, SUITE 4010

X

SAN FRANCISCO, CA 94104-4704

Signatures

/s/ Barry D. Reynolds, Manager, General Partner, Housatonic Micro Partners SBIC, L.L.C.
for Housatonic Micro Fund SBIC, L.P.

08/03/2011

__Signature of Reporting Person

Date

/s/ Barry D. Reynolds, Manager for Housatonic Micro Partners SBIC, L.L.C.

08/03/2011

__Signature of Reporting Person

Date

/s/ Barry D. Reynolds, Manager, General Partner, Housatonic Equity Partners IV, L.L.C. for
Housatonic Equity Investors IV, L.P.

08/03/2011

__Signature of Reporting Person

Date

/s/ Barry D. Reynolds, Manager, General Partner, Housatonic Equity Partners IV, L.L.C. for
Housatonic Equity Affiliates IV, L.P.

08/03/2011

__Signature of Reporting Person

Date

/s/ Barry D. Reynolds, Manager for Housatonic Equity Partners IV, L.L.C.

08/03/2011

__Signature of Reporting Person

Date

/s/ Barry D. Reynolds, Manager, General Partner, Housatonic Equity Partners SBIC, L.L.C.
for Housatonic Equity Investors SBIC, L.P.

08/03/2011

__Signature of Reporting Person

Date

/s/ Barry D. Reynolds, Manager for Housatonic Equity Partners SBIC, L.L.C.

08/03/2011

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The securities are owned by Housatonic Micro Fund SBIC, L.P. ("HMF SBIC"). Housatonic Micro Partners SBIC, L.L.C. ("HMP SBIC") serves as the general partner of HMF SBIC. As such, HMP SBIC possesses sole voting and investment control over the shares owned by

(1) HMF SBIC and may be deemed to have indirect beneficial ownership of the shares held by HMF SBIC. HMP SBIC owns no securities of the Issuer directly. Each Reporting Person disclaims beneficial ownership of such shares except to the extent of its pecuniary interest therein.

The securities are owned by Housatonic Equity Investors IV, L.P. ("HEI IV"). Housatonic Equity Partners IV, L.L.C. ("HEP IV") serves as the general partner of HEI IV. As such, HEP IV possesses sole voting and investment control over the shares owned by HEI IV and may be deemed to have indirect beneficial ownership of the shares held by HEI IV. HEP IV owns no securities of the Issuer directly. Each Reporting Person disclaims beneficial ownership of such shares except to the extent of its pecuniary interest therein.

(2) The securities are owned by Housatonic Equity Partners SBIC, L.L.C. ("HEP SBIC"). Housatonic Equity Partners SBIC, L.L.C. ("HEP SBIC") serves as the general partner of HEI SBIC. As such, HEP SBIC possesses sole voting and investment control over the shares owned by HEI SBIC and may be deemed to have indirect beneficial ownership of the shares held by HEI SBIC. HEP SBIC owns no securities of the Issuer directly. Each Reporting Person disclaims beneficial ownership of such shares except to the extent of its pecuniary

Edgar Filing: SERVICESOURCE INTERNATIONAL, INC. - Form 4

interest therein.

- (4) The securities are owned by Housatonic Equity Affiliates IV, L.P. ("HEA IV"). HEP IV serves as the general partner of HEA IV. As such, HEP IV possesses sole voting and investment control over the shares owned by HEA IV and may be deemed to have indirect beneficial ownership of the shares held by HEA IV. HEP IV owns no securities of the Issuer directly. Each Reporting Person disclaims beneficial ownership of such shares except to the extent of its pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.