Edgar Filing: NASDAQ OMX GROUP, INC. - Form 4

| NASDAQ OM Form 4 May 27, 2014 | MX GROUP, INC. | - | | | | | | | | | |
|--|--------------------------------|--|----------------------------------|-------------------------|---|---|--|---|-------------------------------|--|--|
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION | | | | | | | | | OMB APPROVAL | | |
| Check this | UNITED STAT | UNITED STATES SECURITIES AND EXCHANGE COMMIS Washington, D.C. 20549 | | | | | COMMISSION | OMB Number: | 3235-0287 | | |
| if no longe | | | | | | | NEDSILLD OF | Expires: | January 31, 2005 | | |
| subject to Section 16 Form 4 or | <u>ó</u> . | STATEMENT OF CHANGES IN BENEFICIAL OWNER SECURITIES | | | | | | | average Irs per 0.5 | | |
| Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | | | |
| (Print or Type R | esponses) | | | | | | | | | | |
| Wedenborn Lars Symbol | | | Name and Ticker or Trading | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| | | [NDAQ] | NASDAQ OMX GROUP, INC. [NDAQ] | | | | | (Check all applicable) | | | |
| (Last) ONE LIBER | (First) (Middle) TY PLAZA | 3. Date of Earl (Month/Day/Y 05/22/2014 | Year) | action | | | X Director Officer (give below) | | 6 Owner er (specify | | |
| | | | ndment, Date Original | | | 6. Individual or Joint/Group Filing(Check | | | | | |
| NEW YORK | Filed(Month/Da | - | | | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | | | |
| (City) | (State) (Zip) | Tabla I | Non Dori | votivo S | 0.011 | ition A on | Person uired, Disposed of | f or Donoficio | lly Owned | | |
| | 2. Transaction Date 2A. D | | | Securition | | _ | 5. Amount of | 6. | 7. Nature of | | |
| | (Month/Day/Year) Execution any | tion Date, if Tra Coo | ansaction(A |) or Disj 1str. 3, 4 | posed | l of (D) | Securities Beneficially Owned Following Reported | Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Indirect | | |
| | | Co | de V Ar | | or (D) | Price | Transaction(s) (Instr. 3 and 4) | | | | |
| Common Stock, par value \$0.01 per share | 05/22/2014 | F <u>(</u> | | | D | \$ 36.36 | 7,885 (2) | D | | | |
| Common Stock, par value \$0.01 per share | | | | | | | 30,000 <u>(3)</u> | I | By Pension Insurance (4) | | |
| Common Stock, par value \$0.01 per share | | | | | | | 10,000 <u>(3)</u> | I | By Pension Insurance (5) | | |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exer | cisable and | 7. Titl | e and | 8. Price of | 9. Nu |
|-------------|-------------|---------------------|--------------------|------------|------------|--------------|-------------|--------------|----------|-------------|--------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transact | ionNumber | Expiration D | ate | Amou | nt of | Derivative | Deriv |
| Security | or Exercise | | any | Code | of | (Month/Day/ | Year) | Under | lying | Security | Secu |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Derivativ | e | | Securi | ties | (Instr. 5) | Bene |
| | Derivative | | | | Securities | | | (Instr. | 3 and 4) | | Owne |
| | Security | | | | Acquired | | | | | | Follo |
| | • | | | | (A) or | | | | | | Repo |
| | | | | | Disposed | | | | | | Trans |
| | | | | | of (D) | | | | | | (Instr |
| | | | | | (Instr. 3, | | | | | | |
| | | | | | 4, and 5) | | | | | | |
| | | | | | | | | | | | |
| | | | | | | | | | Amount | | |
| | | | | | | Date | Expiration | | or | | |
| | | | | | | Exercisable | • | Title Number | | | |
| | | | | | | | | | of | | |
| | | | | Code V | (A) (D) | | | | Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|---------|-------|--|--|--|
| I O | Director | 10% Owner | Officer | Other | | | |
| Wedenborn Lars ONE LIBERTY PLAZA NEW YORK, NY 10006 | Х | | | | | | |
| Signatures | | | | | | | |

/s/ Edward S. Knight, by power of attorney

<u>**Signature of Reporting Person</u>

Date

05/27/2014

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents the surrender of shares to pay withholding taxes in connection with a vesting of equity previously granted under NASDAQ OMX's Equity Incentive Plan.
- (2) Represents shares or units of restricted stock granted under The NASDAQ OMX Group, Inc. Equity Incentive Plan, of which 2,599 is vested.
- (3) Represents shares of common stock acquired through purchases.
- (4) The shares are held by a pension insurance fund in the name of Foundation Asset Management, which is Mr. Wedenborn's employer.
- (5) The shares are held by a pension insurance fund in the name of Investor AB, which is Mr. Wedenborn's former employer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners

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