

CONSOL Energy Inc
Form 3
September 25, 2014

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Brock James A

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

09/24/2014

3. Issuer Name **and** Ticker or Trading Symbol
CONSOL Energy Inc [CNX]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

1000 CONSOL ENERGY
DRIVE

(Street)

CANONSBURG,Â PAÂ 15317

(City)

(State)

(Zip)

____ Director ____ 10% Owner
__X__ Officer ____ Other
(give title below) (specify below)
Chief Operating Officer - Coal

6. Individual or Joint/Group
Filing(Check Applicable Line)
__X__ Form filed by One Reporting
Person
____ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1.Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common shares, \$0.01 par value per share

24,270 ⁽¹⁾

D

Â

Common shares, \$0.01 par value per share

18,703

I

By 401 (k) Plan

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

4. Conversion
or Exercise
Price of

5. Ownership
Form of
Derivative

6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	Â <u>(2)</u>	05/03/2015	Common stock, \$0.01 par value per share	200	\$ 22.75	D	Â
Stock Option (right to buy)	Â <u>(2)</u>	05/03/2015	Common stock, \$0.01 par value per share	904	\$ 22.75	D	Â
Stock Option (right to buy)	Â <u>(2)</u>	05/02/2016	Common stock, \$0.01 par value per share	3,226	\$ 44.1	D	Â
Stock Option (right to buy)	Â <u>(2)</u>	02/20/2017	Common stock, \$0.01 par value per share	6,432	\$ 34.85	D	Â
Stock Option (right to buy)	Â <u>(2)</u>	02/19/2018	Common stock, \$0.01 par value per share	3,111	\$ 78.65	D	Â
Stock Option (right to buy)	Â <u>(2)</u>	02/17/2019	Common stock, \$0.01 par value per share	6,347	\$ 27.9	D	Â
Stock Option (right to buy)	Â <u>(2)</u>	02/16/2020	Common stock, \$0.01 par value per share	4,115	\$ 50.5	D	Â
Stock Option (right to buy)	Â <u>(2)</u>	02/23/2021	Common stock, \$0.01 par value per share	6,329	\$ 48.61	D	Â
Stock Option (right to buy)	Â <u>(3)</u>	03/01/2022	Common stock,	12,420	\$ 35.82	D	Â

\$0.01 par
value per
share

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Brock James A 1000 CONSOL ENERGY DRIVE CANONSBURG, PA 15317	^	^	^ Chief Operating Officer - Coal	^

Signatures

/s/ James A. Brock by Stephanie L. Gill, his
attorney-in-fact

09/25/2014

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Of the 24,270 shares owned directly, 11,079 are restricted stock units (including dividend equivalent rights).

(2) These options are currently exercisable in full.

(3) Stock option provides that options will vest one third per year beginning on February 26, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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