Edgar Filing: Bank of New York Mellon Corp - Form 4

Bank of New York Mellon Corp Form 4 November 17, 2014

November	17, 2014											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION								OMB APPROVAL				
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287			
Check t	nis box										January 31, 2005	
if no lo subject		STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF										
Section		SECURITIES							Estimated average burden hours per response 0.1			
Form 4 Form 5		rsuant to Se	ection 16	a) of	² th	e Secur	ities	Exchange	response inge Act of 1934,			
obligati may co <i>See</i> Inst 1(b).	ntinue. Section 17	(a) of the Pu	ublic Util	ty H	lol	ding Co	mpar	•	1935 or Section			
(Print or Type	e Responses)											
Peetz Karen B Syr Ba			2. Issuer Name and Ticker or Trading Symbol Bank of New York Mellon Corp [BK]						5. Relationship of Reporting Person(s) to Issuer			
								orp	(Check all applicable)			
(Last)	(First) (b. Date of E			ransaction	1		Director X Officer (give t		Owner (specify	
THE BAN	K OF NEW YOR		Month/Day		r)				below)	below)	(speeny	
	CORPORATION		11151201	-					I	President		
	(Street)	4	. If Amend	Amendment, Date Original					6. Individual or Joint/Group Filing(Check			
		F	Filed(Month	Day/Y	Year	r)			Applicable Line) _X_ Form filed by Or	ne Reporting Per	son	
NEW YOI	RK, NY 10286								Form filed by Mo Person			
(City)	(State)	(Zip)	Table	- Noi	n-E	Derivative	e Secu	irities Acqu	uired, Disposed of,	or Beneficially	y Owned	
1.Title of Security	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Da	3. htelif Tr	Transaction Disposed of (D) Code (Instr. 3, 4 and 5)					5. Amount of Securities	6. Ownership	7. Nature of Indirect	
(Instr. 3)	(Wondi/Day/Tear)	any	Co						Beneficially	Form:	Beneficial	
		(Month/Day/	Year) (In	(Instr. 8)				Owned Following		Ownership (Instr. 4)		
							(A)		Reported	(I)	(
			Co	de V	V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
Common Stock	11/13/2014		Ν			50,000	A	\$ 30.25	247,118.4463	D		
Common Stock	11/13/2014		S	5	4	50,000	D	\$ 39.3391 (1)	197,118.4463	D		
Common Stock	11/13/2014		N	1	4	50,000	А	\$ 30.13	247,118.4463	D		
Common Stock	11/13/2014		\$	5	4	50,000	D	\$ 39.2159	197,118.4463	D		

(2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securi (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sl
03/16/2010 Stock Options	\$ 30.25	11/13/2014		М	50,000	03/16/2011 <u>(3)</u>	03/15/2020	Common Stock	50,
02/24/2011 Stock Options	\$ 30.13	11/13/2014		М	50,000	02/24/2012 <u>(3)</u>	03/23/2021	Common Stock	50,

Reporting Owners

Reporting Owner Name / Address			Relationships				
	Director	10% Owner	Officer	Other			
Peetz Karen B THE BANK OF NEW YORK MELLON CORPORATION ONE WALL STREET NEW YORK, NY 10286				President			
Signatures							
/s/ Craig T. Beazer, Attorney-in-Fact	11/17/2014						
**Signature of Reporting Person	Date						
E							

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1)

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Represents the weighted average price of shares sold with actual prices ranging from \$39.19 to \$39.47. Upon request by the SEC staff, the issuer, or any security holder of the issuer, the reporting person will provide full information regarding the number of shares sold at each separate price within this range.

Represents the weighted average price of shares sold with actual prices ranging from \$39.18 to \$39.281. Upon request by the SEC staff,(2) the issuer, or any security holder of the issuer, the reporting person will provide full information regarding the number of shares sold at each separate price within this range.

- (3) Grant of Stock Options under the BNY Mellon Long-Term Incentive Plan. Grant exercisable in annual installments of one-fourth each beginning on date indicated.
- (4) Not Applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.