Edgar Filing: ALLIANCE RESOURCE PARTNERS LP - Form 4

ALLIANCE RESOURCE PARTNERS LP

Form 4 January 31, 2003

FORM 4

_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person* Wynne Thomas M.					me and Tic		6. Relationship (Person(s) to Issuer (Check				
*				orting	ntification lag Person, (voluntary)	Number	Mo	onth/Day/ Y ear / 30/03	Director		
									Vice President of Operations		
(Street)									7. Individual or Joint/Group Filing (Check Applicable Line)		
Tulsa, OK 74119	9						(M	•	Person	One Reporting More than One	
(City)	(State)	(Zip)	1	able	e I Non-E	Deri vati	urities Acquired, Dispos	osed of, or Beneficially Owned			
1. Title of Security (Instr. 3)	action	2A. Deemed Execution Date,	3. Trans action C (Instr. 8	Code	4. Securition (A) or Disp (Instr. 3, 4	osed o		5. Amount of Securities Beneficially		7. Nature of Indirect Beneficial	
	(Month/ Day/ Year)	if any (Month/Day/ Year)	Code	V	Amount	(A) or (D)	Price	Owned Follow- ing Reported Transactions(s) (Instr. 3 & 4)	or Indirect (I) (Instr. 4)	Ownership (Instr. 4)	
Common Unit								6,033.482	3 D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially **Owned**

(e.g., puts, calls, warrants, options, convertible securities)

_	(cig.) pais, variants, options, convertible securities,												
	1. Title of	2. Conver-	3. Trans-	3A.	4.	5.	6. Date Exercisable	7. Title and	8. Price of	9. Number of	10.	11. Nature	
	Derivative	sion or	action	Deemed	Trans-	Number	and Expiration	Amount of	Derivative	Derivative	Owner-	of Indirect	
	Security	Exercise	Date	Execution	action	of	Date	Underlying	Security	Securities	ship	Beneficial	
		Price of		Date,	Code	Derivati	(M onth/Day/	Securities	(Instr. 5)	Beneficially	Form	Ownership	
	(Instr. 3)	Derivative	(Month/	if any		Securitie	Y ear)	(Instr. 3 & 4)		Owned	of Deriv-	(Instr. 4)	
				(Month/	(Instr.	Acquire	di .			Following	ative		
			Year)	Day/	8)	(A) or				Reported	Security:		
•		•		•	•		1		•	•		1	

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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			Year)	Dispos of (D) (Instr. 3, 4 &			O) r.	1				Direct (D) or Indirect (I) (Instr. 4)		
				Code	V	(A)		Exer-cisable	Expira- tion Date		Amount or Number of Shares			
Phantom unit	1 for 1	1/30/03 ⁽¹⁾		A		190		(2)		Common Unit	190	190	D	
Restricted unit	1 for 1							(3)	` '	Common Unit		19,500	D	

Explanation of Responses:

- (1) The transaction was approved by the Managing GP's Compensation Committee on January 30, 2003, effective January 1, 2003.
- (2) The Phantom Units are to be settled in either cash or ARLP common units, at the election of the Compensation Committee, upon the reporting person's death or termination
- (3) The Restricted units will vest when the issuer meets certain financial test described int he Agreement of Limited Partnership of the Issuer which would typically be no earlier than September 30, 2004. Once vested the restricted units are to be settled in either cash or ARLP common units, at the election of the Compensation Committee.

By: /s/ Thomas M Wynne

January 31, 2003

Date

**Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed.

If space is insufficient, See Instruction 6 for procedure.

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^{**}Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).