W. P. Carey Inc. Form 144 August 06, 2013

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**UNITED STATES** 

SEC USE ONLY DOCUMENT SEQUENCE NO.

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

CUSIP NUMBER

WORK LOCATION

# **FORM 144**

# NOTICE OF PROPOSED SALE OF SECURITIES

# PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

**ATTENTION:** Transmit for filing 3 copies of this form concurrently with either placing an order with a broker to execute sale or executing a sale directly with a market maker.

1 (a) NAME OF ISSUER (Please type or print) (b) IRS IDENT. NO. (c) S.E.C. FILE NO.

W. P. Carey Inc. 45-4549771 001-13779

1 (d) ADDRESS OF ISSUER STREET CITY STATE ZIP CODE (e) TELEPHONE NO.

AREA CODE NUMBER

50 Rockefeller Plaza, New York, NY 10020 212 492-1100

2 (a) NAME OF PERSON FOR WHOSE ACCOUNT (b) RELATIONSHIP TQc) ADDRESS

THE SECURITIES ARE TO BE SOLD ISSUER STREET CITY STATE ZIP CODE

Estate of Wm. Polk Carey, C/O Wm. Polk Carey

Estate, LLC, 55 East 59TH Street, Suite 1700, New

York, NY 10022

INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.

SEC
(a) (b) USE ONLY (c) (d) (e) (f) (g)
Title of Name and Address of Each Broker Through Whom the Number of Shares Aggregate Number of Shares Approximate Name of Each

Class of Securities are to be Offered or Each Market Maker Broker-Dealer Market or Other Units Date of Sale Securities

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Securities	who is Acquiring the Securities	File Number	or Other Units	Value	Outstanding	(See instr. 3(f))	Exchange
To Be Sold			To Be Sold		(See instr. 3(e))	(MO. DAY YR.)	(See instr. 3(g))
			(See instr. 3(c))	(See instr. 3(d))			
Common Stock	Goldman, Sachs & Co.		1,083,000	\$75,441,780	68,145,288	8/5/2013	New York Stock Exchange
	200 West Street		(	as of 8/02/2019	s) of 4/26/201	3)	
	New York, NY 10282						

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#### **INSTRUCTIONS:**

- 1. (a) Name of issuer
  - (b) Issuer s I.R.S. Identification Number
  - (c) Issuer s S.E.C. file number, if any
  - (d) Issuer s address, including zip code
  - (e) Issuer s telephone number, including area code
- 2. (a) Name of person for whose account the securities are to be sold
  - (b) Such person s relationship to the issuer (e.g., officer, director, 10% stockholder, or member of immediate family of any of the foregoing)
  - (c) Such person s address, including zip code
- 3. (a) Title of the class of securities to be sold
  - (b) Name and address of each broker through whom the securities are intended to be sold
  - (c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount)
  - (d) Aggregate market value of the securities to be sold as of a specified date within 10 days prior to the filing of this notice
  - (e) Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as shown by the most recent report or statement published by the issuer
  - (f) Approximate date on which the securities are to be sold
  - (g) Name of each securities exchange, if any, on which the securities are intended to be sold

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1147 (08-07)

#### TABLE I SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold

and with respect to the payment of all or any part of the purchase price or other consideration therefor:

Title of Date you Name of Person from Whom Acquired Date of

Nature of Acquisition Transaction Amount of the Class Acquired

(If gift, also give date donor acquired)Securities AcquirePlayment

Common Stock 9/28/2012 Shares issued in connection with the merger of W.P. Carey & Co. LLC into the Issuer

Issuer 11,110,060 9/28/2012 Conversion of

Listed Shares

Nature of

Payment

INSTRUCTIONS: If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

### TABLE II SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

Amount of Name and Address of Seller Title of Securities Sold Date of Sale Securities Sold Gross Proceeds

**REMARKS:** 

#### **INSTRUCTIONS:**

to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose signing the form and indicating the date that the plan was adopted or the sales are required by paragraph (e) of Rule 144 to be aggregated with instruction given, that person makes such representation as of the plan sales for the account of the person filing this notice.

**ATTENTION:** The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he See the definition of person in paragraph (a) of Rule 144. Information is does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by adoption or instruction date.

August 5, 2013

/s/ Jan. F. Karst, Co-Executor of the Estate of Wm. Polk Carey

DATE OF NOTICE

(SIGNATURE)

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/s/ H. Augustus Carey, Co-Executor of the Estate of Wm. Polk Carey (SIGNATURE)

DATE OF PLAN ADOPTION OR GIVING OF INSTRUCTION,

IF RELYING ON RULE 10B5-1

The notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed. Any copies not manually signed shall bear typed or printed signatures.

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001) SEC 1147(02-08)