

TD AMERITRADE HOLDING CORP

Form 8-K

October 28, 2013

**UNITED STATES**

**SECURITIES AND EXCHANGE COMMISSION**

**Washington, DC 20549**

**FORM 8-K**

**Current Report**

**Pursuant to Section 13 or 15(d) of the**

**Securities Exchange Act of 1934**

**Date of Report (Date of earliest event reported): October 22, 2013**

**TD Ameritrade Holding Corporation**

**(Exact name of registrant as specified in its charter)**

**Delaware**  
**(State or other jurisdiction**

**of incorporation)**

**1-35509**  
**(Commission**

**File Number)**

**82-0543156**  
**(I.R.S. Employer**

**Identification Number)**

**200 South 108<sup>th</sup> Avenue**

**Omaha, Nebraska**  
**(Address of principal executive offices)**

**68154**  
**(Zip Code)**

**Registrant's telephone number, including area code: (402) 331-7856**

**(Former name or former address, if changed since last report)**

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- .. Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- .. Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- .. Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- .. Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-(c))

**Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.**

Under the terms of the Management Incentive Plan of TD Ameritrade Holding Corporation, for fiscal year 2014, the compensation of Thomas A. Nally, executive vice president, TD Ameritrade Institutional, was changed as described below:

The annual incentive target for Mr. Nally was increased by \$150,000 to \$1.55 million.

Mr. Nally's annual incentive continues to be comprised of 50% cash and 50% equity.

Mr. Nally's base salary was also increased by \$50,000 to \$450,000 per year.

**SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

TD AMERITRADE HOLDING CORPORATION

Date: October 28, 2013

By: /s/ William J. Gerber

Name: William J. Gerber

Title: Chief Financial Officer