NASDAQ OMX GROUP, INC. Form 8-K October 14, 2014

### **UNITED STATES**

### SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### FORM 8-K

# **CURRENT REPORT**

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): October 14, 2014 (October 13, 2014)

The NASDAQ OMX Group, Inc.

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction

000-32651 (Commission **52-1165937** (I.R.S. Employer

of incorporation)

File Number)

**Identification No.)** 

# Edgar Filing: NASDAQ OMX GROUP, INC. - Form 8-K

One Liberty Plaza,

New York, New York

(Address of principal executive offices)

Registrant s telephone number, including area code: +1 212 401 8700

### No change since last report

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- " Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

### Item 8.01. Other Events

On October 13, 2014, The NASDAQ OMX Group, Inc. issued a press release announcing several management changes including the appointments of: (i) Lars Ottersgård as Executive Vice President, Market Technology, (ii) Nelson Griggs as Executive Vice President, Listing Services and (iii) Bruce Aust as Vice Chairman. This press release is attached as Exhibit 99.1 to this Current Report on Form 8-K and is incorporated herein by reference.

### Item 9.01. Financial Statements and Exhibits.

(a) Exhibits.

# **Exhibit**

# No. Exhibit Description

99.1 Press Release dated October 13, 2014

### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

The NASDAQ OMX Group, Inc.

By: /s/ Edward S. Knight Dated: October 14, 2014

**Edward S. Knight** 

**Executive Vice President and General Counsel**