

Allison Transmission Holdings Inc  
Form SD  
May 31, 2016

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

**FORM SD**  
**Specialized Disclosure Report**

**ALLISON TRANSMISSION HOLDINGS, INC.**  
**(Exact name of registrant as specified in its charter)**

**Delaware**  
**(State or other jurisdiction**  
**of incorporation)**

**001-35456**  
**(Commission**  
**File Number)**

**26-0414014**  
**(IRS Employer**  
**Identification No.)**

**One Allison Way, Indianapolis, Indiana**  
**(Address of principal executive offices)**  
**Eric C. Scroggins**

**46222**  
**(Zip Code)**

**Vice President General Counsel and Secretary**

**Allison Transmission Holdings, Inc.**

Edgar Filing: Allison Transmission Holdings Inc - Form SD

**One Allison Way**

**Indianapolis, IN 46222**

**(317) 242-5000**

**(Name and telephone number, including area code, of the person to contact in connection with this report.)**

Check the appropriate box to indicate the rule pursuant to which this form is being filed, and provide the period to which the information in this form applies:

- Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to December 31, 2015.

## **Section 1 - Conflict Minerals Disclosure**

### **Item 1.01 Conflict Minerals Disclosure and Report**

#### **Conflict Minerals Disclosure**

Allison Transmission Holdings, Inc. (the Company) performed a reasonable country of origin inquiry (RCOI), in which it surveyed 100% of its direct suppliers regarding whether its necessary gold, tantalum, tin and tungsten (conflict minerals) originated from the Democratic Republic of the Congo or an adjoining country (the Covered Countries). Most of the responses the Company received indicated that the conflict minerals in the suppliers components and materials either did not originate from a Covered Country, were not necessary to the functionality of the components and materials or the source country was undeterminable. Further information regarding the Company's RCOI is included in the Company's Conflict Minerals Report, which is attached hereto as Exhibit 1.01.

During its RCOI, the Company was not able to determine whether its necessary conflict minerals may have originated from the Covered Countries and may not have come from recycled or scrap sources. As such, the Company proceeded to exercise due diligence on the source and chain of custody of the conflict minerals. The Conflict Minerals Report includes a discussion of the due diligence procedures performed and the disclosures required by the Securities and Exchange Commission.

The Company has disclosed a copy of its Conflict Minerals Report on its website at [www.allisontransmission.com](http://www.allisontransmission.com).

### **Item 1.02 Exhibit**

The Company's Conflict Minerals Report is filed herewith as Exhibit 1.01.

## **Section 2 Exhibits**

### **Item 2.01 Exhibits**

Exhibit 1.01 Conflict Minerals Report as required by Items 1.01 and 1.02 of this Form.

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the duly authorized undersigned.

Date: May 31, 2016

**ALLISON TRANSMISSION HOLDINGS, INC.**

By: /s/ Eric C. Scroggins

Name: Eric C. Scroggins

Title: Vice President, General Counsel and Secretary