

NICHOLAS FINANCIAL INC
Form 8-K
September 13, 2016

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM 8-K

CURRENT REPORT
PURSUANT TO SECTION 13 OR 15(d)
OF THE SECURITIES EXCHANGE ACT OF 1934
Date of Report (Date of earliest event reported) September 8, 2016

NICHOLAS FINANCIAL, INC.
(Exact name of registrant as specified in its Charter)

| | | |
|--|--------------------------------------|---|
| British Columbia, Canada (State or Other Jurisdiction | 0-26680 (Commission | 8736-3354 (I.R.S. Employer |
| of Incorporation or Organization) | File Number) | Identification No.) |
| 2454 McMullen Booth Road, Building C | | 33759 |

Clearwater, Florida
(Address of Principal Executive Offices)
(727) 726-0763

(Zip Code)

(Registrant's telephone number, Including area code)

Not applicable

(Former name, former address and former fiscal year, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- .. Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- .. Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- .. Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- .. Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 5.07 Submission of Matters to a Vote of Security Holders

Nicholas Financial, Inc. held its Annual General Meeting of Shareholders on September 8, 2016. The following tables detail the voting results:

Election of Directors

| | Shares Voted For | Shares Withholding Authority | Broker Non-Votes |
|-------------------|-----------------------------|---|-------------------------|
| Ralph Finkenbrink | 3,262,159 | 143,512 | 2,356,151 |
| Kevin Bates | 3,235,220 | 170,451 | 2,356,151 |

The directors whose terms of office as directors continued after the meeting were Scott Fink, Robin Hastings, and Todd Pfister.

Ratification of Appointment of Dixon Hughes Goodman, LLP as Independent Auditors

| Shares Voted For | Shares Abstaining | Shares Voted Against | Broker Non-Votes |
|-----------------------------|------------------------------|-----------------------------|-------------------------|
| 5,746,860 | 10,400 | 4,562 | |

Advisory Vote on Executive Compensation (Say on Pay)

| Shares Voted For | Shares Abstaining | Shares Voted Against | Broker Non-Votes |
|-----------------------------|------------------------------|-----------------------------|-------------------------|
| 3,322,742 | 5,677 | 77,252 | 2,356,151 |

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this Report to be signed on its behalf by the undersigned, hereunto duly authorized.

NICHOLAS FINANCIAL, INC.

(Registrant)

Date: September 13, 2016

/s/ Ralph T. Finkenbrink
Ralph T. Finkenbrink
Chairman, President, Chief Executive Officer
(Principal Executive Officer)

Date: September 13, 2016

/s/ Katie MacGillivray
Katie MacGillivray
Vice President, Chief Financial Officer

(Principal Financial Officer and Accounting Officer)