

MKS INSTRUMENTS INC
Form 8-K
July 31, 2018

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of Report (Date of Earliest Event Reported): July 30, 2018

MKS Instruments, Inc.

(Exact name of registrant as specified in its charter)

Massachusetts
(State or other jurisdiction

of incorporation)

000-23621
(Commission

File Number)

04-2277512
(I.R.S. Employer

Identification No.)

2 Tech Drive, Suite 201, Andover,

01810

Massachusetts

(Address of principal executive offices)

(Zip Code)

Registrant's telephone number, including area code: 978-645-5500

Not Applicable

Former name or former address, if changed since last report

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

(d) On July 30, 2018, the Board of Directors (the **Board**) of MKS Instruments, Inc. (the **Company**) elected Rajeev Raj Batra as a Class I Director, with a term that will begin October 1, 2018, to fill the vacancy in the Board, and to serve until the 2021 Annual Meeting of Shareholders. Mr. Batra is not expected to initially serve on any Board committee.

There are no transactions involving the Company and Mr. Batra that are required to be disclosed pursuant to Item 404(a) of Regulation S-K.

Mr. Batra will be entitled to receive compensation for his Board and any committee service in accordance with the Company's standard compensation arrangements for non-employee directors, which are described under the caption **Director Compensation** in the Company's definitive proxy statement on Schedule 14A filed with the Securities and Exchange Commission on March 28, 2018, as adjusted by the Board from time to time.

A copy of the press release announcing Mr. Batra's election to the Board is furnished as Exhibit 99.1 to this Current Report on Form 8-K.

Item 9.01 Financial Statements and Exhibits.

(d) Exhibits

Exhibit

No.	Description
99.1	<u>Press Release dated July 31, 2018</u>

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

MKS Instruments, Inc.

July 31, 2018

By: /s/ Kathleen F. Burke

Name: Kathleen F. Burke

Title: Senior Vice President, General Counsel & Asst.

Secretary